

**REGULATORY REFORM TASK FORCE  
STATUS REPORT**



**OCTOBER 2017**

# Table of Contents

- I. Regulatory Reform Status Report Memo
- II. ATTACHMENT 1 (OPE)
- III. ATTACHMENT 2 (OCTAE)
- IV. ATTACHMENT 3 (OESE)
- V. ATTACHMENT 4 (OII)
- VI. ATTACHMENT 5 (OSERS)
- VII. ATTACHMENT 6 (OCFO)

# **Regulatory Reform Status Report Memo**



## UNITED STATES DEPARTMENT OF EDUCATION

### MEMORANDUM TO THE SECRETARY

From: Regulatory Reform Task Force

Date: October 18, 2017

Re: Regulatory Reform Task Force Status Report

This memorandum provides a status report pursuant to the President's Executive Order 13777, *Enforcing the Regulatory Reform Agenda* (the "Order"), to reduce regulatory burdens on the American people through regulatory reform. We set forth below the Department of Education's ("Department") work since the progress report we submitted to you on May 25, 2017.

### REQUIREMENTS OF THE ORDER

You will recall that the Order directs the establishment of a Regulatory Reform Task Force (the "RRTF") to oversee the implementation of the Department's regulatory reform initiatives. Reporting periodically to the Secretary and regularly consulting with agency leadership, the RRTF must evaluate existing regulations and guidance and make recommendations to the Secretary regarding any repeal, replacement, or modification.

The Order requires the RRTF to identify regulations that (i) eliminate jobs or inhibit job creation; (ii) are outdated, unnecessary, or ineffective; (iii) impose costs that exceed benefits; (iv) create a serious inconsistency or otherwise interfere with regulatory reform initiatives and policies; (v) are inconsistent with the requirements of section 515 of the Treasury and General Government Appropriations Act, 2001 or its guidance; or (vi) derive from or implement Executive Orders or other Presidential directives that have been subsequently rescinded or substantially modified.

The Order also directs the RRTF to seek input and other assistance from entities "significantly affected" by the agency's regulations. When implementing the regulatory offsets required by Executive Order 13771 (the "two-for-one order"), the Order also requires the Secretary to give priority to those regulations that the RRTF has identified as being outdated, unnecessary, or ineffective.

### COMPLIANCE WITH THE ORDER

Since May 25, 2017, the Department has taken the following steps to comply with the Order.

#### *1. Regulatory Reform Task Force Meetings*

Composed of career and non-career employees, the RRTF met during June, July, August, and September, engaged in stakeholder outreach, and focused its review on stale and outdated regulations and guidance. The Department also commenced negotiated rulemaking concerning

two sets of implementing regulations under the Higher Education Act of 1965, as amended (HEA).

## 2. *Stakeholder Outreach by the Department and its Principal Offices*

To comply with the Order's requirement at Section 3(e) to seek input from the public, the Department sought comments directly from the general public, with several Principal Offices also reaching out to their stakeholders.

### a. *Outreach by the Department*

With reference to the general Department-wide outreach effort, the RRTF published a request for comments in the *Federal Register* on June 22, 2017, inviting the public to provide comments on identifying regulations and guidance for repeal, replacement, or modification. After extending the closing date from August 21 to September 20, 2017, the Department received 16,391 comments from the public. The RRTF and each POC are currently reviewing these comments.

### b. *Outreach by Principal Offices*

In addition to the Department-wide *Federal Register* notice seeking public comment, several Principal Offices have asked for the views of the stakeholders especially relevant to their offices in a variety of ways. The method depended on the Principal Office.

To obtain the views of the postsecondary education community, the **Office of Postsecondary Education (OPE)** conducted hearings at Salt Lake Community College in Sandy, Utah and at the Department on September 26 and October 4, respectively. For any specific regulation relating to the student financial aid programs or other programs authorized under Title IV of the HEA that OPE identifies for repeal, replacement, or modification, the HEA also requires the Department to engage the public through public hearings and negotiated rulemaking committees (just as it is doing for its current negotiated rulemaking efforts).

The **Office of Career, Technical, and Adult Education (OCTAE)** attended the meeting of the National Coalition on Literacy on October 5, 2017, to seek views on adult education guidance and regulations that may merit repeal, replacement, or modification. (Coalition members include the American Council on Education, the American Library Association, the Correctional Education Association, the Coalition on Adult Basic Education, and ProLiteracy.) On November 15, 2017, OCTAE's Division of Adult Education and Literacy will discuss regulatory reform with the State Directors of Adult Education at the National Adult Education Professional Development Consortium National Training Institute.

For its stakeholder outreach, the **Office of Elementary and Secondary Education (OESE)** sent a letter to various elementary and secondary education groups on May 30, 2017, asking for their views. To date, OESE has received comments from the following groups: The School Superintendents Association (AASA); Cook Inlet Tribal Council; Council of Chief State School Officers; Council of the Great City Schools; Education Trust; Interstate Migrant Education Council; National Alliance for Public Charter Schools; National Association of State Boards of

Education; National Association of Federally Impacted Schools; National Governors Association; National Indian Education Association; National Indian Impacted Schools Association; National Title I Association; and United Parent Leaders Action Network (UPLAN). OESE will consider their comments (as well as others that it receives) as it continues to consider which of its regulations to repeal, modify, or keep.

With OESE leadership, the Secretary also convened a listening session on October 2, 2017, with education policy thought leaders, who individually presented his or her views on the topic of regulatory relief for State educational agencies, local school districts, schools, teachers, and administrators.

The **Office of Innovation and Improvement (OII)** also took steps to consult the public in its review of Department regulations and guidance. On August 3 and 14, 2017, the Office of Non-Public Education (ONPE) sent listserv messages to national, regional, State, and local private school officials encouraging private school officials to provide comments related to Department regulations and guidance. ONPE also conferred with the director of the Council for American Private Education (CAPE), a coalition of 21 national organizations and 38 State affiliates serving private elementary and secondary schools and representing about 80 percent of private school enrollment nationwide. Similarly, on August 14, 2017, the Charter Schools Program (CSP) sent a listserv message alerting charter schools, charter school authorizers, charter management organizations, charter support organizations, and other stakeholders about the opportunity to provide comments related to matters affecting charter schools. CSP also met with leaders from national organizations, such as the National Association of Charter School Authorizers and the National Alliance for Public Charter Schools.

The **Office of Special Education and Rehabilitative Services (OSERS)** took a multi-faceted approach to solicit input from the public, including on-site meetings, teleconferences, blogs, newsletters, and conference presentations. Its Office of Special Education Programs hosted over a thousand people at its Annual Leadership Conference on July 17-19, 2017, and provided attendees three opportunities at the conference to provide feedback on the regulatory reform process. Representatives included State special education, early childhood special education, and early intervention leaders and parent leaders. On September 11, 2017, OSERS also conducted an on-site meeting with over 60 organizations representing parents, disability advocates, civil rights groups, and education associations to obtain their views on the Department's evaluation of regulations and guidance. During monthly and quarterly telephone calls, OSERS also alerted State Directors of Special Education, State Part C Coordinators for Early Intervention, and members of the Council of State Administrators for Vocational Rehabilitation to the opportunity to submit comments on regulatory reform at the Department.

The **Office of Management** has also conducted focused discussions with key stakeholders concerning regulations and technical assistance provided under the Family Educational Rights and Privacy Act, including school officials, State officials, education technology vendors, privacy advocates, and others.

### *3. Regulatory Review*

#### *a. Regulations*

As previously discussed, **OPE** has identified two sets of regulations (Borrower Defense to Repayment/Financial Responsibility and Gainful Employment) for review through negotiated rulemaking. This effort is ongoing, with the public submitting nominees to the Department to appoint to the committees in September 2017. OPE will convene negotiated rulemaking committee and subcommittee meetings as follows: The Borrower Defense and Financial Responsibility Issues committee will meet on November 13-15, 2017; January 8-11, 2018; and February 12-15, 2018. Its Financial Responsibility Subcommittee (to address the concerns of nonprofit institutions) will convene on November 16-17, 2017; January 4-5, 2018; and January 29-30, 2018. Similarly, the Gainful Employment Issues committee will confer on December 4-7, 2017; February 5-8, 2018; and March 12-15, 2018. Additionally, the Department proposed two OPE deregulatory actions (delaying the Borrower Defense regulations) in its spring 2017 Unified Agenda of Federal Regulatory & Deregulatory Activity that OMB published on or about July 7, 2017.

In a similar vein, in the Spring 2017 Unified Agenda, **OESE** identified for withdrawal the Supplement not Supplant requirement under the Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act, that was published in the Federal Register on September 16, 2016 (81 FR 61148). OESE also identified for withdrawal based on staleness the regulations governing the Migrant Even Start Family Literacy Program; the Christa McAuliffe Fellowship Program; and the Selection Criteria for Empowerment Zone or Enterprise Community, as Congress has not authorized or extended these programs.

Other POCs will continue to review their regulations, and the Department will note additional deregulatory actions in its next Unified Agenda of Federal Regulatory & Deregulatory Activity that OMB will publish in the fall of 2017.

*b. Policy-oriented Guidance*

The RRTF has spent much of its time reviewing for staleness policy-oriented guidance documents across the Department, including Dear Colleague Letters, Questions and Answers or Frequently Asked Questions, policy memos, and the like. The following POCs intend to archive or withdraw such guidance:

Out of 1,171 documents, OPE has identified 398 guidance documents that are out-of-date that it intends to withdraw.<sup>1</sup>

OCTAE has identified, out of 25 documents, nine out-of-date guidance documents for withdrawal.<sup>2</sup>

From 228 guidance documents, OESE has identified 97 stale and unnecessary guidance documents for withdrawal.<sup>3</sup> OII has identified two stale guidance documents for rescission.<sup>4</sup>

---

<sup>1</sup> Attachment 1 (OPE).

<sup>2</sup> Attachment 2 (OCTAE).

<sup>3</sup> Attachment 3 (OESE).

<sup>4</sup> Attachment 4 (OII).

Out of 169 documents, OSERS has identified 72 stale guidance documents that it intends to withdraw.<sup>5</sup>

The Office of the Chief Financial Officer has identified five out-of-date guidance documents for withdrawal.<sup>6</sup>

## **NEXT STEPS: CONTINUED IMPLEMENTATION OF THE ORDER**

The RRTF has already catalogued over 150 Department regulations. As next steps, the RRTF will continue to study the many comments received from stakeholders and recommend whether these regulations and related substantive policy guidance meet the Order's criteria for repeal, replacement, or modification. The RRTF will review these materials and in turn provide its additional recommendations to the Secretary.

---

<sup>5</sup> Attachment 5 (OSERS).

<sup>6</sup> Attachment 6 (OCFO).

# **ATTACHMENT 1 (OPE)**

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1994 | GEN  | 94-48  | 12/1/1994   | Title IV Institution Code List of Participating Institutions for 95-96 Award Year  |
| 1994 | GEN  | 94-40  | 10/1/1994   | Telecommunications services provided under the General Electronic Support system and enrollment procedures for 1995-96   |
| 1994 | GEN  | 94-39  | 9/1/1994    | Additional Information Pertaining to 1995-96 Renewal Applications requested through the Department's Electronic Data Exchange (EDE). (Follow-up to 1995-96 Action Letter # 1). |
| 1994 | GEN  | 94-37  | 9/1/1994    | Changes to the 1995-96 processing system, FAFSA, SAR, and Renewal FAFSA  |
| 1994 | GEN  | 94-26  | 8/1/1994    | Schools may choose to have 1995-96 Renewal Applications mailed to them instead of to their students  |
| 1995 | CB   | 95-26  | 11/1/1995   | Cover letter for 1996-97 FISAP Edit/Verification Process   |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1995 | CB   | 95-23  | 9/1/1995    | This letter provides procedures for submitting a request for a waiver of the 1995-96 FWS community service expenditure requirement. |
| 1995 | CB   | 95-21  | 8/1/1995    | This letter is a reminder of the 1996-97 Electronic FISAP Mailing in July.  |
| 1995 | CB   | 95-20  | 8/1/1995    | Use of State scholarships and grants as the non-Federal share of Federal Supplemental Educational Opportunity Grant awards.         |
| 1995 | CB   | 95-12  | 6/1/1995    | Release of Campus-Based Funds and MANDATORY FWS Community Service Reporting Requirements.   |
| 1995 | CB   | 95-11  | 7/1/1995    | Transmittal letter for the 1996-97 Electronic FISAP diskette package.   |
| 1995 | CB   | 95-08  | 6/1/1995    | Close-out of 1993-94 awards for the FWS, Federal Perkins Loan, and/or FSEOG programs.   |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1995 | CB   | 95-04  | 3/1/1995    | This letter provides information regarding your institution's final authorization letter for funding under the campus-based programs for the 1995-96 award year. |
| 1995 | CB   | 95-03  | 1/1/1995    | This letter provides procedures for submitting a request for a waiver of the 1994-95 FWS Community Service expenditure requirement.                              |
| 1995 | CB   | 95-02  | 1/1/1995    | This letter provides information regarding changes in the 1996-97 FISAP for the Federal Perkins Loan, FSEOG, and FWS Programs.                                   |
| 1995 | CB   | 95-01  | 1/1/1995    | This letter provides information on Tentative 1995-96 Funding Levels for the Campus-Based Programs.  |
| 1995 | G    | 284    | 10/1/1995   | Suspension of Default Reinsurance Claim Payments Due to Non-Receipt of September 1995 Quarterly Report.  |
| 1995 | G    | 283    | 9/1/1995    | Extension for completion of lender compliance audits.  |
| 1995 | G    | 281    | 8/1/1995    | Last Submission Date for Default Reinsurance Requests for FY 1995  |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1995 | G    | 275    | 3/1/1995    | Interim Reporting Instructions For The Guaranty Agency Quarterly/Annual Report (ED Form 1130)  |
| 1995 | G    | 274    | 3/1/1995    | This letter transmits the U.S. Department of Education's Audit Guide, Compliance Audits (Attestation Engagements) of the Federal Family Education Loan Program to Participating Lenders.   |
| 1995 | G    | 273    | 1/1/1995    | This letter describes the telecommunications services provided to state and non-state guarantee agencies under the General Electronic Support (GES) system and invites new users to become enrolled in these services. Enrollment will be carried forward each year unless the agency calls to cancel the service. |
| 1995 | GEN  | 95-54  | 12/1/1995   | This Action Letter describes changes to the 1996-97 application processing system, the FAFSA, the Renewal FAFSA, the SAR, and the ISIR.  |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1995 | GEN  | 95-53  | 11/1/1995   | This letter describes the Title IV Wide Area Network (WAN) services, the receipt of Institutional Student Information Records (ISIRs) through the Electronic Data Exchange (EDE) or the Applicant Data Service (but NOT both), and applicable enrollment procedures. |
| 1995 | GEN  | 95-51  | 10/1/1995   | This Action Letter introduces a Windows version of EDEExpress, the software designed to help institutions manage student aid data.   |
| 1995 | GEN  | 95-50  | 10/1/1995   | The Title IV School Code List of Participating Institutions for the 1996- 97 Award Year.   |
| 1995 | GEN  | 95-45  | 10/1/1995   | This letter gives information and schedules for the 1996- 97 Renewal FAFSA, which institutions may request through the Department's Electronic Data Exchange (EDE), beginning Oct. 9 - Nov. 10, 1995.  |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1995 | GEN  | 95-41  | 8/1/1995    | This Action Letter introduces the 1995- 96 FAFSA EXPRESS, a financial aid software package that allows applicants to complete and submit an electronic Free Application for Federal Student Aid using a personal computer equipped with a modem.                     |
| 1995 | GEN  | 95-53  | 11/1/1995   | This letter describes the Title IV Wide Area Network (WAN) services, the receipt of Institutional Student Information Records (ISIRs) through the Electronic Data Exchange (EDE) or the Applicant Data Service (but NOT both), and applicable enrollment procedures. |
| 1995 | GEN  | 95-37  | 8/1/1995    | Cumulative List of Student Financial Assistance Programs Mailings for the Period January 1, 1995 through June 30, 1995   |
| 1995 | GEN  | 95-34  | 6/1/1995    | Distribution of The Verification Guide, 1995-96  |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1995 | GEN  | 95-30  | 6/1/1995    | Invitation to schools to submit proposals to become experimental sites for the purpose of testing alternative methods of administering Title IV student aid programs. |
| 1995 | GEN  | 95-29  | 5/1/1995    | 1996-97 Application for Participation in the William D. Ford Federal Direct Loan Program sent only to eligible non-participating school's Financial Aid Officers      |
| 1995 | GEN  | 95-28  | 5/1/1995    | 1996-97 Application for Participation in the William D. Ford Federal Direct Loan Program sent to Chief Fiscal Officers at eligible non-participating institutions.    |
| 1995 | GEN  | 95-27  | 6/1/1995    | FY 1993 Cohort Default Rate   |
| 1995 | GEN  | 95-24  | 5/1/1995    | Requests review and correction of names and addresses used in the student aid mailing list.   |
| 1995 | GEN  | 95-22  | 4/1/1995    | Clarification concerning institutional refunds to students.   |
| 1995 | GEN  | 95-21  | 4/1/1995    | Clarification of the methods by which a student may apply for Federal student aid.  |
| 1995 | GEN  | 95-16  | 3/1/1995    | This letter provides information regarding the NSLDS to schools participating in Title IV aid programs  |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1995 | GEN  | 95-15  | 3/1/1995    | Errata to the 1995-96 Institution Applicant Data Service for Federal Title IV Student Aid Programs (GEN-95-2)               |
| 1995 | GEN  | 95-14  | 3/1/1995    | Cover letter for Chapter 2 of 1994-95 Federal Student Financial Aid Handbook  |
| 1995 | GEN  | 95-11  | 2/1/1995    | Distribution of the 1995-96 Spanish booklet on ED's student aid programs: "Ayuda Federal Para Estudiantes"                  |
| 1995 | GEN  | 95-10  | 2/1/1995    | Transmitting A Guide to 1995-96 SARs and ISIRs.   |
| 1995 | GEN  | 95-09  | 1/1/1995    | Cumulative List of Student Financial Assistance Programs Mailings for the Period January 1, 1994 through December 31, 1994. |
| 1995 | GEN  | 95-06  | 1/1/1995    | Conference support for electronic initiatives   |
| 1995 | GEN  | 95-04  | 1/1/1995    | Simplification of our Federal student aid regulations and administrative processes  |
| 1995 | GEN  | 95-03  | 1/1/1995    | 1995-96 STATE AGENCY APPLICANT DATA SERVICE FOR FEDERAL TITLE IV STUDENT AID PROGRAMS                                       |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1995 | GEN  | 95-02  | 1/1/1995    | 1995-96<br>INSTITUTION<br>APPLICANT DATA<br>SERVICE FOR<br>FEDERAL TITLE IV<br>STUDENT AID<br>PROGRAMS.  |
| 1995 | L    | 95-184 | 11/1/1995   | This letter contains information about the publication of the FY 1993 cohort default rates for originating lenders, holders, and guaranty agencies participating in the FFEL Program, as mandated by the Higher Education Act of 1992. |
| 1995 | L    | 95-183 | 9/1/1995    | Extension for completion of lender compliance audits.  |
| 1995 | L    | 95-181 | 6/1/1995    | This letter provides guidance relating to the filing of the Lender's Interest and Special Allowance Request and Report (ED Form 799)   |
| 1995 | L    | 95-180 | 6/1/1995    | This letter provides guidance relating to the filing of the Lender's Interest and Special Allowance Request and Report (ED Form 799).  |
| 1995 | L    | 95-177 | 3/1/1995    | This letter provides information regarding the NSLDS to lenders participating in the FFEL Program.   |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1995 | P    | 95-06  | 10/1/1995   | This letter provides additional information on the Federal Pell Grant Eligibility Flag to institutions participating in the 1995-96 Institution Applicant Data Service. It amends 1995- 96 Action Letter #7 (GEN-95-2). |
| 1995 | P    | 95-05  | 10/1/1995   | Procedures for 1994-95 Federal Pell Grant account adjustments after the September 30, 1995 submission deadline.   |
| 1995 | P    | 95-04  | 6/1/1995    | Informational Package on Ford FDLP, sent to FAAs  |
| 1995 | P    | 95-03  | 6/1/1995    | Informational Package on Ford FDLP, sent to Chief Fiscal Officers   |
| 1995 | P    | 95-02  | 5/1/1995    | This letter provides information concerning your initial 1995-96 Federal Pell Grant Statement of Account and Institutional Payment Summary.   |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1995 | SG   | 95-04  | 11/1/1995   | This letter describes the telecommunications services provided to State and Non-state Guaranty Agencies under the Title IV Wide Area Network (WAN) system, the receipt of Institutional Student Information Records (ISIRs) through EDE or the Applicant Data Service (but not both), and applicable enrollment procedures. |
| 1995 | SG   | 95-03  | 8/1/1995    | Fiscal Year 1994 (1994-95 award year) State Student Incentive Grant (SSIG) Program Performance Report (ED Form 1288-1)  |
| 1995 | SG   | 95-02  | 3/1/1995    | This letter conveys the application that each participating state must submit to receive fiscal year 1995 funds for the SSIG Program.   |
| 1995 | SG   | 95-01  | 1/1/1995    | This letter describes the telecommunications services provided to state and non-state guarantee agencies under the General Electronic Support (GES) system and invites new users to become enrolled in these services. Enrollment will be carried forward each year unless the agency calls to cancel the service.          |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1995 | SPEC | 95-02  | 8/1/1995    | Describes a mailing list change to the Application Ordering System (AOS) for 1996-97 FAFSAs and Student Guides.  |
| 1995 | SPEC | 95-01  | 7/1/1995    | Direct Loan List of Publications   |
| 1996 | ANN  | 96-4   | 9/1/1996    | Announcing a new way to order the 1997-98 version of the Free Application for Federal Student Aid and the Student Guide ...1-800-284-2788                        |
| 1996 | ANN  | 96-3   | 8/1/1996    | This letter announces and describes a training series on EDEXpress, and lists the sites and dates for the workshops.   |
| 1996 | ANN  | 96-2   | 5/1/1996    | Announcement of "Direct Loan Update '96" videoconference   |
| 1996 | CB   | 96-23  | 11/1/1996   | The impact of the new Federal minimum wage on the Federal Work- Study Program.   |
| 1996 | CB   | 96-13  | 7/1/1996    | Transmittal letter for the 1997-98 Electronic FISAP diskette package.  |
| 1996 | CB   | 96-12  | 6/1/1996    | Close-out of 1994-95 awards for the Federal Work- Study (FWS), Federal Perkins Loan, and/or Federal Supplemental Educational Opportunity Grant (FSEOG) programs. |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1996 | CB   | 96-11  | 6/1/1996    | Release of Campus-Based Funds and Request for Supplemental Federal Work-Study (FWS) Funds.  |
| 1996 | CB   | 96-6   | 5/1/1996    | Information regarding your institution's final authorization letter for funding under the campus-based programs for the 1996-97 award year.                   |
| 1996 | CB   | 96-5   | 5/1/1996    | In late July, institutions will automatically receive an Electronic FISAP Software package with 3 1/2" high density diskettes.                                |
| 1996 | CB   | 96-4   | 2/1/1996    | Changes in the 1997-98 FISAP for the Federal Perkins Loan, Federal Supplemental Educational Opportunity Grant (FSEOG), and Federal Work-Study (FWS) Programs. |
| 1996 | CB   | 96-3   | 2/1/1996    | This letter contains important information regarding 1996-97 Campus-Based Program funding.  |
| 1996 | CB   | 96-2   | 1/1/1996    | Submission of Campus-Based Reallocation Form (E40-4P).  |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1996 | CB   | 96-1   | 1/1/1996    | This letter provides information on Tentative 1996-97 Funding Levels for the Campus-Based Programs.                                   |
| 1996 | G    | 96-295 | 11/1/1996   | Extension of lender audit requirement deadline.   |
| 1996 | G    | 96-294 | 10/1/1996   | Suspension of Default Reinsurance Claim Payments Due to Non-Receipt of September 1996 Quarterly Report                                |
| 1996 | G    | 96-293 | 10/1/1996   | This letter provides an initial draft of the 1997-98 Institutional Student Information Record (ISIR) layout and description.          |
| 1996 | G    | 96-291 | 9/1/1996    | This letter describes the implementation of the Student Status Confirmation Report function of the National Student Loan Data System. |
| 1996 | G    | 96-290 | 8/1/1996    | Last Submission Date for Default Reinsurance Requests for FY 1996   |
| 1996 | G    | 96-289 | 3/1/1996    | This letter contains information about the Guaranty Agency Quarterly/Annual Report (ED Form 1130)                                     |
| 1996 | GEN  | 96-22  | 11/1/1996   | Changes and enhancements to the 1997-98 application processing system.  |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1996 | GEN  | 96-21  | 11/1/1996   | DEPARTMENT OF EDUCATION TO HOLD REGIONAL MEETINGS IN DECEMBER FOR REAUTHORIZATION OF THE HIGHER EDUCATION ACT   |
| 1996 | GEN  | 96-20  | 11/1/1996   | This letter describes Title IV Wide Area Network (TIV WAN or Title IV WAN) services, enhancements for the 1997- 98 award year, enrollment procedures, access to the National Student Loan Data System (NSLDS), and receiving Institutional Student Information Records (ISIRs) through the Electronic Data Exchange (EDE) OR the Institution Applicant Data Service (but not through both). |
| 1996 | GEN  | 96-19  | 10/1/1996   | This letter provides an initial draft of the 1997-98 Institutional Student Information Record (ISIR) layout and description.  |
| 1996 | GEN  | 96-17  | 9/1/1996    | This letter describes the implementation of the Student Status Confirmation Report function of the National Student Loan Data System.   |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1996 | GEN  | 96-16  | 9/1/1996    | This letter gives information and schedules for institutions that wish to have their students receive the 1997- 98 Renewal Free Application for Federal Student Aid (Renewal FAFSA).  |
| 1996 | GEN  | 96-13  | 7/1/1996    | This letter announces the availability of the National Student Loan Data System (NSLDS) to meet the regulatory requirements for obtaining financial aid transcript (FAT) information for purposes of determining student eligibility for Federal Title IV student assistance. |
| 1996 | GEN  | 96-12  | 7/1/1996    | SFAP Customer Support Branch Inquiry Service. Your direct help line for questions and information on administering the Title IV Student Financial Assistance Programs at your institution. Call 1-800-4ED-SFAP (1-800-433-7327).  |
| 1996 | GEN  | 96-09  | 3/1/1996    | Errata and replacement pages for the 1995-96 FSFA Handbook  |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1996 | GEN  | 96-08  | 3/1/1996    | <p>This letter describes the Title IV Wide Area Network (WAN) services, the receipt of Institutional Student Information Records (ISIRs) through the Electronic Data Exchange (EDE) or the Applicant Data Service (but not both), the National Student Loan Data System (NSLDS), and applicable enrollment procedures.</p> <p>This letter is a replacement of Action Letter #4 (GEN- 95-53) dated November 1995 for enrollment to the Title IV WAN.</p> |
| 1996 | GEN  | 96-07  | 3/1/1996    | <p>Incorporation of the Student Status Confirmation Report (SSCR) into the NSLDS to centralize and fully automate the enrollment verification process.</p>  |
| 1996 | GEN  | 96-06  | 3/1/1996    | <p>Transmitting A Guide to 1996-97 SARs and ISIRs.</p>  |
| 1996 | GEN  | 96-05  | 2/1/1996    | <p>Student Status Confirmation Report (SSCR) User's Guide</p>   |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1996 | GEN  | 96-04  | 1/1/1996    | This letter references an Advance Notice of Proposed Rulemaking that was recently published in the Federal Register regarding a new draft proposal for improved oversight in the Federal student aid programs.   |
| 1996 | GEN  | 96-02  | 2/1/1996    | 1996-97 release of our FAFSA Express software.   |
| 1996 | L    | 96-192 | 11/1/1996   | Extension of lender audit requirement deadline.  |
| 1996 | L    | 96-191 | 12/1/1996   | This letter contains information about the publication of the Fiscal Year (FY) 1994 cohort default rates for originating lenders, holders, and guaranty agencies participating in the Federal Family Education Loan (FFEL) Program, as mandated by the Higher Education Act of 1965, as amended. |
| 1996 | L    | 96-189 | 9/1/1996    | This letter describes the implementation of the Student Status Confirmation Report function of the National Student Loan Data System.  |
| 1996 | L    | 96-188 | 6/1/1996    | Extension of lender audit requirement deadline.  |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1996 | P    | 96-04  | 10/1/1996   | Procedures for 1995-96 Federal Pell Grant account adjustments after the SEPTEMBER 30, 1996 submission deadline.  |
| 1996 | P    | 96-03  | 8/1/1996    | This letter provides information regarding the provision in the Department's Fiscal Year 1996 Appropriation Act that precludes a student from receiving a Federal Pell Grant for the 1996-97 award year, if the student's school is ineligible to participate in the Federal Family Education Loan Program or the William D. Ford Federal Direct Loan Program as a result of cohort default rates. |
| 1996 | SG   | 96-03  | 8/1/1996    | Fiscal Year 1995 (1995-96 award year) State Student Incentive Grant (SSIG) Program Performance Report (ED Form 1288-1)   |
| 1996 | SG   | 96-02  | 5/1/1996    | This letter conveys the application that each participating State must submit to receive fiscal year 1996 funds for the State Student Incentive Grant (SSIG) Program.  |
| 1996 | SG   | 96-01  | 2/1/1996    | 1996-97 release of our FAFSA Express software  |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>  |
|-------------|-------------|---------------|--------------------|---|
| 1997        | ANN         | 97-06         | 4/1/1997           | This letter will only be posted electronically. It will not be mailed to institutions.  |
| 1997        | ANN         | 97-04         | 3/1/1997           | To provide general information concerning the forthcoming changes in Title IV program numbers used by participating institutions of postsecondary education. Publication Reference: Section 487b, Higher Education Act of 1965, as amended in 1992, P.L. 102-325 [20 U.S.C. 1094b]. |
| 1997        | ANN         | 97-03         | 3/1/1997           | Advertising the U.S. Department of Education's New FAFSA Express Software and Poster  |
| 1997        | ANN         | 97-02         | 2/1/1997           | This letter describes a training series on the 1997-98 EDEExpress for Windows application processing software, and lists the sites and dates for the workshops.   |
| 1997        | ANN         | 97-01         | 1/1/1997           | Announcement of February 13 Student Financial Assistance Videoconference  |
| 1997        | CB          | 97-16         | 11/1/1997          | Cover letter for 1998-99 FISAP Edit/Verification Process  |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1997 | CB   | 97-15  | 9/1/1997    | This letter provides information regarding supplemental funding under the Campus-Based Programs for the 1997-98 award year.                        |
| 1997 | CB   | 97-14  | 8/1/1997    | Use of State scholarships and grants as the non-Federal share of FSEOG awards.   |
| 1997 | CB   | 97-12  | 7/1/1997    | Information regarding the FWS Program and its community service aspects, including reading tutors of children.                                     |
| 1997 | CB   | 97-11  | 7/1/1997    | This letter accompanies the supplemental awards issued in response to the Application for Additional Allocation of FWS Funds for Fiscal Year 1997. |
| 1997 | CB   | 97-10  | 7/1/1997    | Close-out of 1995-96 awards for the FWS, Federal Perkins Loan, and/or FSEOG programs.  |
| 1997 | CB   | 97-09  | 6/1/1997    | Transmittal letter for the 1998-99 Electronic FISAP diskette package.  |
| 1997 | CB   | 97-07  | 6/1/1997    | Release of Campus-Based Funds and Request for Supplemental FWS Funds.  |

| Year | Type               | Number | Date Issued | Summary   |
|------|--------------------|--------|-------------|---|
| 1997 | CB                 | 97-05  | 5/1/1997    | Information regarding the Job Location and Development Program under the Federal Work-Study programs.                                       |
| 1997 | CB                 | 97-04  | 5/1/1997    | This letter provides information on the Application for Additional Allocation of Federal Work-Study Funds for Fiscal Year 1997.             |
| 1997 | CB                 | 97-03  | 5/1/1997    | This letter provides procedures for submitting a request for a waiver of the 1997-98 FWS community service expenditure requirement.         |
| 1997 | CB                 | 97-02  | 3/1/1997    | Information regarding your institution's final authorization letter for funding under the campus-based programs for the 1997-98 award year. |
| 1997 | CB                 | 97-01  | 2/1/1997    | Changes in the 1998-99 FISAP for the Federal Perkins Loan, FSEOG, and FWS Programs.   |
| 1997 | transmittal letter | N/A    | 10/22/1997  | Transmittal letter for the 1997-98 Electronic FISAP diskette package.   |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1997 | N/A  | N/A    | 10/21/1997  | This letter accompanies the supplemental awards issued in response to the Application for Additional Allocation of FWS Funds for Fiscal Year 1997.<br>REFERENCE: This letter supplements Chapter 7 of the FSA Handbook. |
| 1997 | G    | 97-304 | 11/1/1997   | Extension of Guaranty Agency Claims and Collections Report (ED Form 1189)   |
| 1997 | G    | 97-302 | 10/1/1997   | Suspension of Default Reinsurance Claim Payments Due to Non-Receipt of September 1997 Quarterly/Annual Report   |
| 1997 | G    | 97-301 | 7/1/1997    | Last Submission Date for Default Reinsurance Requests for FY 1997   |
| 1997 | G    | 97-297 | 5/1/1997    | Guidance for institutions of higher education, guaranty agencies, and lenders concerning the recent flooding in North Dakota, South Dakota, and Minnesota.  |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1997 | G    | 97-296 | 3/1/1997    | This letter describes the telecommunications services provided to State and Non-state Guaranty Agencies under the Title IV WAN services, the receipt of ISIRs through the EDE or the Institution Applicant Data Service (but NOT both), and applicable enrollment procedures. |
| 1997 | GEN  | 97-13  | 11/1/1997   | Changes and enhancements to the 1998-99 application processing system.  |
| 1997 | GEN  | 97-12  | 11/1/1997   | Compliance with a new Federal requirement relating to electronic participation between the ED and postsecondary educational institutions.   |
| 1997 | GEN  | 97-10  | 9/1/1997    | This letter provides information and schedules for institutions that wish to have their students receive the 1998- 99 Renewal Free Application for Federal Student Aid (Renewal FAFSA).   |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1997 | GEN  | 97-09  | 8/1/1997    | <p>UPDATE ON THE SSCR PROCESS OF THE</p> <p>NSLDS: describes a series of letters that will be sent to institutions in connection with the SSCR process.</p>   |
| 1997 | GEN  | 97-08  | 8/1/1997    | <p>This letter announces a conference for Third Party Servicers sponsored by the U.S. Department of Education on October 9-10, 1997, in Washington, DC. NOTE TO SCHOOLS: If you are using a Third Party Servicer, please forward this letter to them.</p> |
| 1997 | GEN  | 97-07  | 8/1/1997    | <p>This letter announces three U.S. Department of Education conferences entitled, "A Second Decade of Partnership Through Electronics" to be held in St. Paul, MN November 4-6; Seattle, WA November 17-19; and Boston, MA December 16-18.</p>            |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1997 | GEN  | 97-06  | 8/1/1997    | How to report changes to the information you provided on your Application for Approval to Participate in Federal Student Financial Aid Programs.                                      |
| 1997 | GEN  | 97-05  | 6/1/1997    | Information concerning the restructuring of the Institutional Participation and Oversight Service   |
| 1997 | GEN  | 97-02  | 5/1/1997    | Guidance for institutions of higher education, guaranty agencies, and lenders concerning the recent flooding in North Dakota, South Dakota, and Minnesota.                            |
| 1997 | GEN  | 97-01  | 4/1/1997    | Enclosed is a calendar setting forth some of the significant products, activities, and services that you can expect from us in 1997, and some of the milestones we expect to achieve. |
| 1997 | L    | 97-198 | 9/1/1997    | This letter provides guidance relating to the filing of the Lender's Application for Payment of Insurance Claim (ED Form 1207).   |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1997 | L    | 97-194 | 5/1/1997    | National Student Loan Data System Reporting Requirements   |
| 1997 | L    | 97-193 | 5/1/1997    | Guidance for institutions of higher education, guaranty agencies, and lenders concerning the recent flooding in North Dakota, South Dakota, and Minnesota.   |
| 1997 | P    | 97-03  | 10/1/1997   | Procedures for 1996-97 Federal Pell Grant account adjustments after the September 30, 1997 submission deadline.  |
| 1997 | P    | 97-02  | 6/1/1997    | This letter provides information to institutions on procedures for requesting Federal Pell Grant Authorization Adjustments. It supersedes information contained in the initial instruction, GEN 94-14 dated April 1994 |
| 1997 | P    | 97-01  | 4/1/1997    | This letter announces changes to the Electronic Data Exchange service by which institutions exchange 1996-97 Pell payment data with the Department of Education, and the associated customer support function.         |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1997 | S    | 97-76  | 5/1/1997    | Guidance for institutions of higher education, guaranty agencies, and lenders concerning the recent flooding in North Dakota, South Dakota, and Minnesota.                               |
| 1997 | S    | 97-75  | 3/1/1997    | To identify postsecondary institutions which may not have received notice of the requirement to submit an application for recertification in the Federal student financial aid programs. |
| 1997 | SSIG | 97-02  | 8/1/1997    | Fiscal Year 1996 (1996-97 award year) State Student Incentive Grant (SSIG) Program Performance Report (ED Form 1288-1)   |
| 1997 | SSIG | 97-01  | 2/1/1997    | This letter conveys the application that each participating State must submit to receive fiscal year 1997 funds for the SSIG Program.  |
| 1997 | SYS  | 97-01  | 1/1/1997    | This letter provides information on Tentative 1997-98 Funding Levels for the Campus-Based Programs   |
| 1998 | ANN  | 98-16  | 12/1/1998   | The Hope Scholarship and Lifetime Learning Tax Credits.  |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>  |
|-------------|-------------|---------------|--------------------|---|
| 1998        | GEN         | 98-27         | 11/1/1998          | President Clinton signed the Higher Education Amendments of 1998 into law   |
| 1998        | GEN         | 98-14         | 7/1/1998           | Announces the availability of the NSLDS to accept Title IV Federal student aid overpayment information from institutions. |
| 1999        | ANN         | 99-02         | 3/25/1999          | Informing and requesting partner input on SFA's organizational structure  |
| 1999        | CB          | 99-20         | 11/1/1999          | Campus-Based Programs 2000-2001 FISAP Edit/Verification   |
| 1999        | CB          | 99-19         | 10/1/1999          | Year 2000 disclosure notice on the FISAP V2.0 software package  |
| 1999        | CB          | 99-18         | 9/1/1999           | Reminder to Campus-Based Program participants about the FISAP   |
| 1999        | CB          | 99-17         | 9/1/1999           | Supplemental funding under the Campus-Based Programs for the 1999- 2000 award year  |
| 1999        | CB          | 99-16         | 9/1/1999           | Campus-Based Programs Funding Formula Changes for the 2000-2001 Award Year  |
| 1999        | CB          | 99-15         | 8/1/1999           | Use of State scholarships and grants as the non-Federal share of FSEOG awards   |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>  |
|-------------|-------------|---------------|--------------------|---|
| 1999        | CB          | 99-12         | 7/1/1999           | Waiver of the institutional-share requirement under the FWS Program for students employed as math tutors for elementary through ninth grade                 |
| 1999        | CB          | 99-11         | 7/1/1999           | Release of Campus-Based Funds and Request for Supplemental FWS  |
| 1999        | CB          | 99-10         | 7/1/1999           | Notice to participating schools in the Campus-Based Programs of ED's intent to issue Award Letters, Funding Worksheets, and Cover Letters over Title IV WAN |
| 1999        | CB          | 99-08         | 6/1/1999           | Additional methods by which an institution may submit its request for a waiver of the 1999-2000 FWS community service expenditure requirement               |
| 1999        | CB          | 99-07         | 5/1/1999           | Procedures to request a waiver of the 1999-2000 FWS community service expenditure requirement   |
| 1999        | CB          | 99-06         | 3/1/1999           | Information regarding your institution's final funding authorization under the Campus-Based Programs for the 1999- 2000 award year                          |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1999 | CB   | 99-05  | 2/1/1999    | Application for Additional Allocation of FWS Funds for Fiscal Year 1999   |
| 1999 | CB   | 99-04  | 1/1/1999    | Tentative 1999-2000 Funding Levels for the Campus-Based Programs  |
| 1999 | CB   | 99-03  | 1/1/1999    | Tentative 1999-2000 Funding Levels for the Campus-Based Programs  |
| 1999 | CB   | 99-01  | 1/1/1999    | Year 2000 disclosure notice on the FISAP software package   |
| 1999 | CB   | 99-01  | 1/1/1999    | Year 2000 disclosure notice on the FISAP software package   |
| 1999 | G    | 99-326 | 12/1/1999   | A summary of ED's guaranty agency-related contingency plans and general Y2K resources   |
| 1999 | GEN  | 99-42  | 12/20/1999  | Updates the contingency plans that ED will implement in the event of a Y2K related computer system failure at a school or third-party servicer  |
| 1999 | GEN  | 99-41  | 12/1/1999   | Information to schools and their servicers about what can still be done to mitigate Y2K system failures in the delivery of Federal title IV aid |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1999 | GEN  | 99-40  | 12/1/1999   | Changes and enhancements to the 2000-2001 Application Processing System, including changes to the FAFSA, SAR, the ISIR, our electronic application products, and our new SFA download website |
| 1999 | GEN  | 99-39  | 12/1/1999   | Final "Drug Worksheet" to help explain question 28 on the FAFSA.  |
| 1999 | GEN  | 99-38  | 12/1/1999   | Recently enacted amendment to the Y2K Act (P.L. 106-37) and a reminder of the importance of testing student aid data exchanges with ED's systems  |
| 1999 | GEN  | 99-34  | 10/1/1999   | New enrollment document for the Student Aid Internet Gateway (SAIG)   |
| 1999 | GEN  | 99-32  | 10/1/1999   | Year 2000 disclosure notice on the Student Status Confirmation Reporting version 1.2 (SSCR V1.2) software package   |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 1999 | GEN  | 99-25  | 9/1/1999    | Step-by-step instructions and tips to schools wishing to request electronic files and/or printed materials for the 2000-2001 Renewal FAFSA |
| 1999 | GEN  | 99-22  | 8/1/1999    | Implementation of Blanket Certificate of Loan Guaranty Pilot Program   |
| 1999 | GEN  | 99-20  | 7/1/1999    | ED Received An A Grade for Our Year 2000 Progress  |
| 1999 | GEN  | 99-18  | 6/1/1999    | Changes to the process for requesting electronic and/or printed Renewal FAFSA for the 2000-2001 processing cycle                           |
| 1999 | GEN  | 99-17  | 6/1/1999    | Let Direct Loan Consolidation be a tool for you to help your students  |
| 1999 | GEN  | 99-15  | 3/1/1999    | We are well underway as the nation's first Performance Based Organization  |
| 1999 | GEN  | 99-14  | 3/1/1999    | Year 2000 School Testing   |
| 1999 | GEN  | 99-13  | 3/1/1999    | Year 2000 disclosure notice on the DL Module of the 1999 - 2000 EDEExpress V5.1 software package.  |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1999 | GEN  | 99-12  | 3/1/1999    | Year 2000 disclosure notice on the Title IV Wide Area Network (TIVWAN) and the EDConnect for Windows 32-Bit software package                    |
| 1999 | GEN  | 99-10  | 2/1/1999    | Students receiving preparation to compete and succeed in the twenty- first century workplace  |
| 1999 | GEN  | 99-06  | 1/1/1999    | Year 2000 disclosure notice on the 1999-2000 FOTW and Renewal FOTW software products  |
| 1999 | GEN  | 99-05  | 1/1/1999    | Year 2000 disclosure notice on the 1999-2000 FAFSA Express software package   |
| 1999 | GEN  | 99-04  | 1/1/1999    | Year 2000 disclosure notice on the 1999-2000 EDEExpress V5.0 software package, which contains the Applications Processing and Packaging modules |
| 1999 | GEN  | 99-03  | 1/1/1999    | Year 2000 disclosure notice on the 1998 - 1999 Quality Assurance Program  |
| 1999 | GEN  | 99-02  | 1/1/1999    | Year 2000 disclosure notice on the Student Status Confirmation Reporting software package   |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1999 | GEN  | 99-01  | 1/1/1999    | Year 2000 disclosure notice on the DL Module of the 1998 - 1999 EDEExpress V4.4 software package  |
| 1999 | L    | 99-222 | 12/1/1999   | Provides our lender and GA partners with information pertaining to student loan delinquency, default aversion, claim processing and post-claim activities and the Y2K and information about ED's plans to alleviate any issues that may arise during delinquent and defaulted loan activities |
| 1999 | L    | 99-221 | 12/1/1999   | Provides our lender and GA partners with information pertaining to student loan servicing and the Y2K and information about ED's plans to alleviate any issues that may arise when servicing loans  |
| 1999 | L    | 99-220 | 12/1/1999   | Provides our lender and GA partners with information pertaining to loan processing and disbursement options and the Y2K and information about ED's plans to alleviate any issues that may arise when processing or disbursing loans.  |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>   |
|-------------|-------------|---------------|--------------------|--|
| 1999        | L           | 99-219        | 12/1/1999          | Summary of ED's lender and servicer related contingency plans and general Y2K resources  |
| 1999        | LEAP        | 99-02         | 8/1/1999           | 1998-1999 award year Leveraging Educational Assistance Partnership Program Performance Report (ED Form 1288-1)                               |
| 1999        | LEAP        | 99-01         | 2/1/1999           | Application to receive award year 1999- 2000 funds for the LEAP Program  |
| 1999        | P           | 99-08         | 12/1/1999          | Y2K mitigation plan for the Federal Pell Grant Program for the 1999-2000 award year  |
| 1999        | P           | 99-07         | 10/1/1999          | Year 2000 disclosure notice on the 1999 - 2000 EDEExpress V5.4 software package, which contains the Pell Payment module                      |
| 1999        | P           | 99-06         | 10/1/1999          | Using the Recipient Financial Management System to submit more than one disbursement record per recipient for the Federal Pell Grant Program |
| 1999        | P           | 99-05         | 8/1/1999           | Procedures for 1998-99 Pell account adjustments after the 9/30/1999 submission deadline  |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 1999 | P    | 99-04  | 4/1/1999    | Additional information regarding the new RFMS - second step is the submission of the disbursement record                    |
| 1999 | P    | 99-03  | 4/8/1999    | Additional information regarding the new RFMS - first step is the submission of the origination record                      |
| 1999 | P    | 99-01  | 1/1/1999    | Year 2000 disclosure notice on the 1998 - 1999 Pell Payment for Windows V3.0 software package                               |
| 2000 | CB   | 00-14  | 1/11/2000   | Campus-Based Programs 2001-2002 FISAP Edit/Verification Process   |
| 2000 | CB   | 00-13  | 9/1/2000    | Use of State scholarships and grants as the non-Federal share of Federal Supplemental Educational Opportunity Grant awards. |
| 2000 | CB   | 00-12  | 9/1/2000    | Information regarding the 2000-2001 Supplemental Campus-Based award process.  |
| 2000 | CB   | 00-11  | 7/1/2000    | The Campus-Based Reallocation Form (E40-4P)   |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>  |
|-------------|-------------|---------------|--------------------|---|
| 2000        | CB          | 00-10         | 7/1/2000           | Fiscal Operations Report for 1999-2000 and Application to Participate for 2001-2002 (FISAP)   |
| 2000        | CB          | 00-08         | 5/1/2000           | This letter provides information on requesting a waiver of the 2000-2001 Federal Work-Study (FWS) community service expenditure requirements.                         |
| 2000        | CB          | 00-06         | 5/1/2000           | Close-out of the 1998-1999 awards for the Federal Work-Study (FWS), Federal Perkins Loan, and/or Federal Supplemental Educational Opportunity Grant (FSEOG) Programs. |
| 2000        | CB          | 00-03         | 3/1/2000           | Information regarding your institution's final funding authorization under the Campus-Based Programs for the 2000- 2001 award year.                                   |
| 2000        | CB          | 00-02         | 1/1/2000           | Changes in the 2001-2002 FISAP for the Federal Perkins Loan, Federal Supplemental Educational Opportunity Grant (FSEOG), and Federal Work- Study (FWS) Programs.      |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 2000 | CB   | 00-01  | 1/1/2000    | This letter provides information on Tentative 2000-2001 Funding Levels for the Campus-Based Programs.  |
| 2000 | G    | 00-329 | 8/1/2000    | This letter notifies guaranty agencies, lenders, and servicers in the FFEL program of new Department procedures for processing enrollment information about FFEL borrowers.  |
| 2000 | GEN  | 00-23  | 12/1/2000   | The final drug worksheet titled "Worksheet for Question 35" is now available   |
| 2000 | GEN  | 00-21  | 11/22/2000  | Electronic participation in the SFA Programs   |
| 2000 | GEN  | 00-20  | 11/22/2000  | SUMMARY: This letter provides advance notification of a Notice that will be published in the Federal Register informing schools of the deadline date for meeting updated minimum technical specifications in order to use our designated electronic processes. |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>   |
|-------------|-------------|---------------|--------------------|--|
| 2000        | GEN         | 00-19         | 11/1/2000          | This action letter provides information about changes and enhancements to the 2001-2002 EDESuite software, which includes EDEExpress modules.        |
| 2000        | GEN         | 00-17         | 11/1/2000          | Seven Final Rule packages were published on November 1, 2000   |
| 2000        | GEN         | 00-15         | 9/1/2000           | We are pleased to announce our new approach to helping you find answers to questions about our Title IV Student Financial Assistance (SFA) programs. |
| 2000        | GEN         | 00-14         | 9/1/2000           | Ordering bulk quantities of 2001-2002 Application Materials  |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 2000 | GEN  | 00-13  | 9/1/2000    | <p>This letter is a follow-up to Action Letter #1 posted to IFAP in July 2000. It discusses the 2001-2002 Renewal Application process, including the upcoming release of SFA's newest standalone PC product called Renewal Applications for Windows 2001-2002, Version 1.0. This letter also provides step-by-step instructions and tips to schools wishing to request electronic files and/or printed materials for the 2001-2002 Renewal Free Application for Federal Student Aid (Renewal FAFSA) process.</p> |
| 2000 | GEN  | 00-12  | 8/1/2000    | <p>Elimination of the Paper Financial Aid Transcript (FAT)</p>   |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 2000 | GEN  | 00-11  | 7/1/2000    | <p>The purpose of this letter is to inform you and your staff that we will soon begin collection campus crime statistics as required by Section 485 (a) and (f) of the Higher Education Act (also known as the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act) (20 U.S.C.1092(a) and (f). Providing this information is required by law as a condition of your institution's continued participation in the Federal student financial aid programs.</p> |
| 2000 | GEN  | 00-10  | 7/1/2000    | <p>SUMMARY: This letter provides advance information on the process for requesting electronic and/or printed Renewal Free Applications for Federal Student Aid (Renewal FAFSA) for the 2001-2002 processing cycle.</p>   |
| 2000 | GEN  | 00-09  | 6/1/2000    | <p>We invite you to attend the Third- Party Servicers and Software Providers Conference on August 10 and 11 in Arlington, Virginia. This letter describes the conference and tells you how to register.</p>  |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 2000 | GEN  | 00-02  | 1/1/2000    | Beginning on February 28, 2000, the Direct Consolidation Loan Center will accept only the new "Federal Direct Consolidation Loan Application and Promissory Note"                          |
| 2000 | GEN  | 00-01  | 1/1/2000    | 2000-01-01 - (GEN-00-01) This letter transmits A Guide to 2000-2001 SARs and ISIRs.  |
| 2000 | LEAP | 00-2   | 11/1/2000   | Fiscal Year 1999 (1999-2000 award year) Leveraging Educational Assistance Partnership (LEAP) Program Performance Report (ED Form 1288-1)   |
| 2000 | LEAP | 00-01  | 4/1/2000    | Application to Receive Award Year 2000-2001 Funds for the Leveraging Educational Assistance Partnership (LEAP) and Special Leveraging Educational Assistance Partnership (SLEAP) Programs. |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 2000 | P    | 00-02  | 9/1/2000    | This letter provides you with information concerning the procedures for 1999-2000 Federal Pell Grant award adjustments after the October 2, 2000 submission deadline.  |
| 2000 | P    | 00-01  | 1/1/2000    | This letter provides you with additional information regarding the new Recipient Financial Management System (RFMS). RFMS is designed to report and request funds for the Federal Pell Grant Program. The Multiple Reporting Record (MRR) process is a new function available for 1999-2000. The MRR provides information to you about a student's origination and disbursement status at other institutions and the percentage of the student's scheduled award that has been disbursed at all institutions |
| 2001 | CB   | 01-16  | 12/31/2001  | SUMMARY: Use of State scholarships and grants as the institutional share for FSEOG.  |
| 2001 | CB   | 01-11  | 8/8/2001    | The Campus-Based FISAP migration to Web  |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 2001 | CB   | 01-10  | 7/7/2001    | The Campus-Based Reallocation Form (E40-4P) which is due to the Department by August 24, 2001 |
| 2001 | CB   | 01-09  | 7/19/2001   | Fiscal Operations Report for 2000-2001 and Application to Participate for 2002-2003 (FISAP)   |
| 2001 | CB   | 01-08  | 7/12/2001   | CB disaster relief guidance for 1999-2000 or the 2000-2001 award years.                       |
| 2001 | CB   | 01-07  | 5/24/2001   | Outdated Work-Colleges application  |
| 2001 | CB   | 01-06  | 5/18/2001   | Close-out of the 1999-2000 awards   |
| 2001 | CB   | 01-04  | 4/1/2001    | Request for community service waiver  |
| 2001 | CB   | 01-03  | 3/22/2001   | Final CB funding authorization  |
| 2001 | CB   | 01-02  | 2/1/2001    | Changes in the 2002-2003 FISAP  |
| 2001 | CB   | 01-01  | 1/22/2001   | Tentative CB funding authorization  |
| 2001 | GEN  | 01-13  | 9/24/2001   | Recent Terrorist Attacks -- Persons Affected by Military Mobilization                         |
| 2001 | GEN  | 01-11  | 9/17/2001   | Recent Terrorist Attacks - Relief for Borrowers in the Title IV Loan Programs                 |
| 2001 | GEN  | 01-04  | 2/15/2001   | 2001-2002 Electronic FAFSA changes  |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>  |
|-------------|-------------|---------------|--------------------|---|
| 2001        | GEN         | 01-06         | 11/2/2001          | Changes and enhancements to the 2002-2003 EDESuite software   |
| 2001        | GEN         | 01-12         | 9/17/2001          | Recent Terrorist Attacks -- Institutional Reporting Deadlines   |
| 2001        | GEN         | 01-03         | 2/1/2001           | 2001-2002 ISIRs SAR/ISIR Guide.   |
| 2001        | GEN         | 01-01         | 1/1/2001           | (SAIG) enhancements.  |
| 2002        | CB          | 02-17         | 10/31/2002         | Campus-Based Programs 2003-2004 FISAP Edit/Verification Process   |
| 2002        | CB          | 02-15         | 9/19/2002          | Information regarding the 2002-2003 supplemental campus-based award process   |
| 2002        | CB          | 02-14         | 7/25/2002          | FISAP 2001-2002 and Application to Participate for 2003-2004  |
| 2002        | CB          | 02-13         | 7/24/2002          | The Campus-Based Reallocation Form is due to the Department by August 23, 2002  |
| 2002        | CB          | 02-11         | 6/27/2002          | SUMMARY: This letter provides information on requesting a waiver of the 2002-2003 FWS Program community service expenditure requirements. |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 2002 | CB   | 02-06  | 5/14/2002   | SUMMARY: Changes for the 2001-2002 Fiscal Operations Report and 2003- 2004 FISAP for Perkins Loan, FSEOG, and FWS Programs.   |
| 2002 | CB   | 02-04  | 4/19/2002   | Close-out of the 2000-2001 awards for FWS, Perkins Loan, and/or FSEOG Programs  |
| 2002 | CB   | 02-03  | 3/20/2002   | Information regarding your institution's final funding authorization under the Campus-Based Programs for the 2002- 2003 award year.                                   |
| 2002 | CB   | 02-02  | 3/13/2002   | This letter provides information regarding the “Institutional Application and Agreement for Participation in the Work-Colleges Program” for the 2002-2003 award year. |
| 2002 | CB   | 02-01  | 1/23/2002   | This letter provides information on Tentative 2002-2003 Funding Levels for the Campus-Based Programs  |
| 2002 | GEN  | 02-02  | 1/8/2002    | This letter transmits A Guide to 2002- 2003 ISIRs.  |
| 2002 | CB   | 02-13  | 7/24/2002   | The Campus-Based Reallocation Form is due to the Department by August 23, 2002  |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 2002 | CB   | 02-11  | 6/27/2002   | SUMMARY: This letter provides information on requesting a waiver of the 2002-2003 FWS Program community service expenditure requirements.                             |
| 2002 | CB   | 02-06  | 5/14/2002   | SUMMARY: Changes for the 2001-2002 Fiscal Operations Report and 2003- 2004 FISAP for Perkins Loan, FSEOG, and FWS Programs.   |
| 2002 | CB   | 02-04  | 4/19/2002   | Close-out of the 2000-2001 awards for FWS, Perkins Loan, and/or FSEOG Programs  |
| 2002 | CB   | 02-03  | 3/20/2002   | Information regarding your institution's final funding authorization under the Campus-Based Programs for the 2002- 2003 award year.                                   |
| 2002 | CB   | 02-02  | 3/13/2002   | This letter provides information regarding the “Institutional Application and Agreement for Participation in the Work-Colleges Program” for the 2002-2003 award year. |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>   |
|-------------|-------------|---------------|--------------------|--|
| 2002        | CB          | 02-01         | 1/23/2002          | This letter provides information on Tentative 2002-2003 Funding Levels for the Campus-Based Programs   |
| 2002        | GEN         | 02-02         | 1/8/2002           | This letter transmits A Guide to 2002- 2003 ISIRs.   |
| 2002        | GEN         | 02-01         | 1/3/2002           | This action letter provides information about changes and enhancements to the 2002-2003 Application Processing System, including changes to the FAFSA, SAR, the ISIR, Central Processing System edits, and database matches. |
| 2003        | CB          | 03-17         | 12/11/2003         | State Scholarships and Grants as the Non-Federal Share of SEOG   |
| 2003        | CB          | 03-16         | 11/10/2003         | 2004-2005 FISAP Edit/Verification Process  |
| 2003        | CB          | 03-15         | 9/3/2003           | Supplemental Campus-Based Awards   |
| 2003        | CB          | 03-10         | 7/16/2003          | Fiscal Operations Report and Application to Participate (FISAP)  |
| 2003        | CB          | 03-09         | 7/15/2003          | Campus-Based Reallocation Form is due by August 22, 2003   |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>  |
|-------------|-------------|---------------|--------------------|---|
| 2003        | CB          | 03-07         | 5/16/2003          | Requesting a waiver of the 2003-2004 Federal Work-Study Program community service expenditure requirements  |
| 2003        | CB          | 03-06         | 4/30/2003          | Close-out of the 2001-2002 awards for the Federal Work-Study (FWS), Federal Perkins Loan, and/or Federal Supplemental Educational Opportunity Grant (FSEOG) Programs. |
| 2003        | CB          | 03-05         | 4/17/2003          | State Scholarships and Grants as the Non-Federal Share of SEOG  |
| 2003        | CB          | 03-04         | 3/24/2003          | Final funding authorization under the Campus-Based Programs for the 2003-2004 award year.   |
| 2003        | CB          | 03-03         | 3/14/2003          | Changes in the 2004-2005 FISAP  |
| 2003        | CB          | 03-02         | 1/21/2003          | Tentative 2003-2004 Funding Levels for the Campus-Based Programs.   |
| 2003        | CB          | 03-01         | 1/16/2003          | Application and Agreement for Participation in the Work-Colleges Program” for the 2003-2004 award year.   |

| Year | Type | Number | Date Issued | Summary  |
|------|------|--------|-------------|--|
| 2003 | GEN  | 03-5   | 3/19/2003   | New electronic notification process for draft and official cohort default rates in the FFEL and Direct Loan programs |
| 2003 | GEN  | 03-14  | 12/8/2003   | Martin Luther King, Jr., Scholars Program  |
| 2003 | GEN  | 03-11  | 9/15/2003   | Inclusion of School Information on FSA's Student Aid on the Web (previously known as the Students Portal)            |
| 2003 | GEN  | 03-01  | 1/8/2003    | Martin Luther King, Jr. Scholarship Program  |
| 2004 | CB   | 04-12  | 11/24/2004  | Campus-Based Programs 2005-2006 FISAP Edit/Validation Process and Update of Perkins Cash on Hand                     |
| 2004 | CB   | 04-11  | 9/9/2004    | Federal Perkins Loan Program: Default Reduction Assistance Program   |
| 2004 | CB   | 04-10  | 9/1/2004    | 2004-2005 Supplemental Campus- Based Awards  |
| 2004 | CB   | 04-09  | 6/25/2004   | Fiscal Operations Report and Application to Participate (FISAP)  |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>  |
|-------------|-------------|---------------|--------------------|---|
| 2004        | CB          | 04-08         | 6/25/2004          | 2003-2004 Campus-Based Reallocation Process                               |
| 2004        | CB          | 04-06         | 4/13/2004          | 2002-2003 Campus-Based Awards Close-out                                   |
| 2004        | CB          | 04-05         | 3/23/2004          | 2004-2005 Federal Work-Study Community Service Waiver Requests            |
| 2004        | CB          | 04-04         | 3/23/2004          | 2004-05 Final Funding Authorization Letters for the Campus-Based Programs |
| 2004        | CB          | 04-03         | 3/10/2004          | 2005-2006 FISAP Change Letter   |
| 2004        | CB          | 04-02         | 1/28/2004          | Participation in the Work-Colleges Program                                |
| 2004        | CB          | 04-01         | 1/23/2004          | Campus-Based Tentative Funding Levels                                     |
| 2004        | FP          | 04-04         | 3/16/2004          | Exceptional Performer   |
| 2004        | FP          | 04-01         | 2/17/2004          | Numbering of Dear Colleague/Dear Partner Letters for Financial Partners   |
| 2004        | GEN         | 04-13         | 12/1/2004          | Ordering of Paper FAFSAs  |
| 2004        | GEN         | 04-07         | 7/19/2004          | Contact information for FSA's Student Loan Ombudsman's Office             |
| 2004        | GEN         | 04-06         | 7/15/2004          | Adjusted XML implementation schedule                                      |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>   |
|-------------|-------------|---------------|--------------------|--|
| 2005        | CB          | 05-13         | 12/16/2005         | 2004-2005 Campus-Based Awards Closeout   |
| 2005        | CB          | 05-12         | 11/16/2005         | FISAP Edit Corrections and Perkins Cash on Hand Update Due December 15, 2005   |
| 2005        | CB          | 05-10         | 6/30/2005          | 2004-2005 Campus-Based Reallocation Form and Process   |
| 2005        | CB          | 05-09         | 6/30/2005          | Final FISAP  |
| 2005        | CB          | 05-07         | 5/17/2005          | 2003-2004 Campus-Based Awards Closeout   |
| 2005        | CB          | 05-06         | 5/13/2005          | This letter provides information about the Fiscal Operations Report for 2004- 2005 and Application to Participate for 2006-2007 (FISAP) for the Federal Perkins Loan (Perkins Loan), Federal Supplemental Educational Opportunity Grant (FSEOG), and Federal Work- Study (FWS) programs. |
| 2005        | CB          | 05-05         | 4/6/2005           | This letter provides information on requesting a waiver of the 2005-2006 community service expenditure requirements under the Federal Work- Study Program.   |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>  |
|-------------|-------------|---------------|--------------------|---|
| 2005        | CB          | 05-04         | 3/23/2005          | This letter announces the upcoming posting to our eCampus-Based (eCB) web site of schools' 2005-2006 final funding authorizations for the campus- based programs. |
| 2005        | CB          | 05-03         | 2/25/2005          | Use of State Scholarships and Grants as the Non-Federal Share of FSEOG Awards   |
| 2005        | CB          | 05-02         | 2/14/2005          | Participation in the Work-Colleges Program  |
| 2005        | CB          | 05-01         | 1/24/2005          | This letter announces the posting to our eCB website of institutions' tentative 2005-2006 funding levels for the campus-based programs.                           |
| 2005        | GEN         | 05-14         | 9/30/2005          | Sample Default Prevention and Management Plan   |
| 2005        | GEN         | 05-13         | 9/29/2005          | Updated Guidance for Requesting Approval to Make a Late Disbursement Beyond the 120-Day Period  |
| 2005        | GEN         | 05-11         | 9/16/2005          | Availability of the Child Care Provider Loan Forgiveness Application for Renewal Benefits and the Child Care Provider Loan Forgiveness Forbearance Form           |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 2005 | GEN  | 05-10  | 9/1/2005    | 2006-2007<br>FAFSA on the<br>Web<br>Worksheet and<br>Paper FAFSA<br>Distribution<br>Plan              |
| 2005 | GEN  | 05-05  | 4/1/2005    | FEBI Has a New Name   |
| 2005 | GEN  | 05-04  | 3/14/2005   | Notifications to 2005-06<br>Applicants Reminding<br>Them to Update<br>Estimated Income<br>Information |
| 2005 | GEN  | 05-01  | 2/8/2005    | Invitation to Help<br>Vision New Aid<br>Delivery Processes  |
| 2006 | CB   | 06-15  | 11/21/2006  | FISAP Edit Corrections<br>and Perkins Cash on<br>Hand Update Due<br>December 15, 2006                 |
| 2006 | CB   | 06-14  | 10/25/2006  | New Process for<br>Accessing the<br>eCampus-Based<br>System   |
| 2006 | CB   | 06-13  | 9/5/2006    | 2006-2007<br>Supplemental<br>Campus- Based<br>Awards  |
| 2006 | CB   | 06-09  | 6/19/2006   | 2005-2006 Campus-<br>Based Reallocation<br>Form and Process   |
| 2006 | CB   | 06-08  | 6/19/2006   | Final FISAP   |
| 2006 | CB   | 06-06  | 3/30/2006   | Draft FISAP   |
| 2006 | CB   | 06-05  | 3/27/2006   | 2006-2007 Federal<br>Work-Study Program<br>Community Service<br>Waiver Requests                       |
| 2006 | CB   | 06-04  | 3/20/2006   | 2006-2007 Final<br>Funding<br>Authorizations for the<br>Campus-Based<br>Programs                      |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>   |
|-------------|-------------|---------------|--------------------|--|
| 2006        | CB          | 06-03         | 2/27/2006          | Use of State Scholarships and Grants as the Non-Federal Share of FSEOG Awards  |
| 2006        | CB          | 06-02         | 2/8/2006           | Participation in the Work-Colleges Program   |
| 2006        | CB          | 06-01         | 1/19/2006          | Tentative 2006-2007 Funding Levels for the Campus-Based Programs   |
| 2006        | FP          | 06-10         | 6/5/2006           | Special Allowance Payments for FFEL PLUS Loans   |
| 2006        | GEN         | 06-18         | 10/20/2006         | Implementation of "Academic Year" Definition in the Academic Competitiveness Grant (ACG) and National SMART Grant Programs |
| 2006        | GEN         | 06-17         | 9/28/2006          | Institutional Accreditation for Distance Learning Programs   |
| 2006        | GEN         | 06-16         | 9/20/2006          | 2007-08 Publication Update: Includes FAFSA and FAFSA on the Web Worksheet Information                                      |
| 2006        | GEN         | 06-15         | 8/25/2006          | National SMART Grant - Revised List of Eligible Majors   |

| Year | Type | Number | Date Issued | Summary   |
|------|------|--------|-------------|---|
| 2006 | GEN  | 06-08  | 5/3/2006    | Additional Implementation Guidance -- Academic Competitiveness Grant (ACG) and National Science and Mathematics Access to Retain Talent Grant (National SMART Grant) Programs |
| 2006 | GEN  | 06-06  | 5/2/2006    | This letter provides the list of academic majors eligible for the National SMART Grants for the 2006-07 award year.   |
| 2006 | GEN  | 06-04  | 4/5/2006    | Academic Competitiveness Grant and National Science and Mathematics Access to Retain Talent (SMART) Grant Programs  |
| 2006 | GEN  | 06-03  | 3/14/2006   | Correction to Dear Colleague Letter GEN-06-02, FP-06-01   |
| 2006 | GEN  | 06-01  | 2/15/2006   | Update on ADvance Implementation Schedule   |
| 2007 | CB   | 07-16  | 11/14/2007  | FISAP Edit Corrections and Perkins Cash on Hand Update Due December 15, 2007  |
| 2007 | CB   | 07-14  | 8/29/2007   | 2007-2008 Supplemental Campus- Based Awards   |
| 2007 | CB   | 07-13  | 7/5/2007    | 2006-2007 Campus- Based Reallocation Form and Process   |
| 2007 | CB   | 07-12  | 6/18/2007   | FISAP Form/Instructions   |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>  |
|-------------|-------------|---------------|--------------------|---|
| 2007        | CB          | 07-11         | 6/18/2007          | New Federal Minimum Wage and the Federal Work-Study Program                   |
| 2007        | CB          | 07-08         | 5/17/2007          | FWS Community Service Requirements  |
| 2007        | CB          | 07-07         | 4/26/2007          | 2005-2006 Campus-Based Awards Closeout  |
| 2007        | CB          | 07-06         | 4/24/2007          | Draft FISAP and Instruction Booklet   |
| 2007        | CB          | 07-05         | 3/4/2007           | Use of State Scholarships and Grants as the Non-Federal Share of FSEOG Awards |
| 2007        | CB          | 07-04         | 3/26/2007          | 2007-2008 Federal Work-Study Program Community Service Waiver Requests        |
| 2007        | CB          | 07-03         | 3/15/2007          | 2007-2008 Final Funding Authorizations for the Campus-Based Programs          |
| 2007        | CB          | 07-02         | 2/1/2007           | Participation in the Work-Colleges Program                                    |
| 2007        | CB          | 07-01         | 1/17/2007          | Tentative 2007-2008 Funding Levels for the Campus-Based Programs              |
| 2007        | FP          | 07-05         | 4/2/2007           | Correction to Dear Colleague Letter FP-07-03                                  |
| 2007        | GEN         | 07-07         | 10/9/2007          | Enrollment Requirements for the National SMART Grant Program                  |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>   |
|-------------|-------------|---------------|--------------------|--|
| 2007        | GEN         | 07-06         | 9/24/2007          | National SMART Grant - Revised List of Eligible Majors for Academic Year 2007- 2008                        |
| 2007        | GEN         | 07-02         | 4/27/2007          | 2007-2008 Academic Competitiveness Grant (ACG) and National SMART Grant Award Amounts and Approved Majors  |
| 2007        | GEN         | 07-01         | 3/30/2007          | Borrower Choice of FFEL Lender   |
| 2007        | P           | 07-02         | 10/12/2007         | Elimination of the Alternative Federal Pell Grant Payment and Disbursement Schedules                       |
| 2008        | CB          | 08-13         | 11/20/2008         | Use of State Scholarships and Grants as the Non-Federal Share of FSEOG Awards for the 2008-2009 Award Year |
| 2008        | CB          | 08-12         | 11/14/2008         | FISAP Edit Corrections and Perkins Cash on Hand Update Due December 15, 2008                               |
| 2008        | CB          | 08-11         | 9/19/2008          | 2008-2009 Supplemental Campus- Based Awards  |
| 2008        | CB          | 08-10         | 6/25/2008          | 2007-2008 Campus-Based Reallocation Form and Process   |
| 2008        | CB          | 08-09         | 6/25/2008          | FISAP Form/Instructions  |
| 2008        | CB          | 08-07         | 5/8/2008           | 2006-2007 Campus-Based Awards Closeout   |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>   |
|-------------|-------------|---------------|--------------------|--|
| 2008        | CB          | 08-06         | 5/2/2008           | Use of State Scholarships and Grants as the Non-Federal Share of FSEOG Awards for the 2007-2008 Award Year |
| 2008        | CB          | 08-05         | 4/16/2008          | Draft FISAP and Instruction Booklet  |
| 2008        | CB          | 08-04         | 3/20/2008          | 2008-2009 Federal Work-Study Program Community Service Waiver Requests                                     |
| 2008        | CB          | 08-03         | 3/18/2008          | 2008-2009 Final Funding Authorizations for the Campus-Based Programs                                       |
| 2008        | CB          | 08-02         | 1/29/2008          | Participation in the Work-Colleges Program   |
| 2008        | CB          | 08-01         | 1/17/2008          | Tentative 2008-2009 Funding Levels for the Campus-Based Programs   |
| 2008        | FP          | 08-03         | 4/14/2008          | Lender-of-Last-Resort Services in the Federal Family Education Loan Program                                |
| 2008        | GEN         | 08-10         | 6/24/2008          | Reminder of guidance for helping Title IV participants affected by a disaster.                             |
| 2008        | GEN         | 08-09         | 6/20/2008          | National SMART Grant Program - List of Eligible Majors for Academic Year 2008-2009                         |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>   |
|-------------|-------------|---------------|--------------------|--|
| 2008        | GEN         | 08-06         | 5/9/2008           | School Use of a Preferred Lender List in the FFEL Program                        |
| 2008        | GEN         | 08-05         | 5/6/2008           | FFEL Lender-of-Last-Resort Loan Program  |
| 2008        | GEN         | 08-02         | 2/6/2008           | National SMART Grant – Request for Designation of Additional Eligible Majors     |
| 2009        | CB          | 09-03         | 5/15/2009          | Campus-Based Waivers and Reallocation Based on a Major 2008 Natural Disaster     |
| 2009        | GEN         | 09-11         | 9/8/2009           | Minor Prior Year Charges   |
| 2009        | GEN         | 09-09         | 7/6/2009           | National SMART Grant Program – List of Eligible Majors for Award Year 2009- 2010 |
| 2009        | GEN         | 09-03         | 3/26/2009          | National SMART Grant - Designation of Additional Eligible Majors                 |
| 2010        | GEN         | 10-12         | 6/18/2010          | National SMART Grant Program - List of Eligible Majors for Award Year 2010- 2011 |
| 2010        | GEN         | 10-06         | 4/20/2010          | Support for Schools Transitioning to Direct Loans                                |
| 2010        | GEN         | 10-04         | 4/2/2010           | National SMART Grant - Designation of Additional Eligible Majors                 |
| 2011        | GEN         | 11-11         | 4/20/2011          | AMENDED - State authorization under the Program Integrity Regulations            |

| <b>Year</b> | <b>Type</b> | <b>Number</b> | <b>Date Issued</b> | <b>Summary</b>   |
|-------------|-------------|---------------|--------------------|--|
| 2012        | GEN         | 12-11         | 7/17/2012          | 2013-2014 Award Year:<br>FAFSA<br>Information to be<br>Verified and<br>Acceptable<br>Documentation |
| 2012        | GEN         | 12-06         | 3/30/2012          | NSLDS Enrollment<br>Reporting Process  |
| 2013        | GEN         | 13-24         | 12/4/2013          | Experimental Sites<br>Initiative -<br>Solicitation of Ideas  |
| 2013        | GEN         | 13-21         | 8/9/2013           | Invitation to<br>Participate in the<br>Experimental Sites<br>Initiative                            |
| 2013        | GEN         | 13-08         | 2/27/2013          | Extension of Invitation<br>to Participate in the<br>Experimental Sites<br>Initiative               |
| 2013        | GEN         | 13-03         | 1/17/2013          | Invitation to Participate<br>in Experiments Under<br>the Experimental Sites<br>Initiative          |

## **ATTACHMENT 2 (OCTAE)**

|   | Program | OCTAE Significant Guidance to be Rescinded -- RRTF Title   | Date Issued | Keep, Modify, or Rescind |
|---|---------|--|-------------|--------------------------|
| 1 | Perkins | <a href="#">The Role of Tech-Prep Education in Preparing America's Future</a>  | 6/18/2002   | R                        |
| 2 | Perkins | Guidance for Revisions in State Performance Levels for Vocational and Adult Education  | 4/25/2002   | R                        |
| 3 | Perkins | <a href="#">Permissible State Uses of Tech-Prep Funds</a>  | 5/19/2000   | R                        |
| 4 | Perkins | Accountability Systems Development for the Carl D. Perkins Vocational and Technical Education Act of 1998  | 10/15/1999  | R                        |
| 5 | Perkins | <a href="#">Second Jointly Issued Guidance Regarding the Non-Duplication Provision in the Workforce Investment Act</a>   | 6/30/1999   | R                        |
| 6 | Perkins | <a href="#">Services That Prepare Individuals for Nontraditional Training and Employment and Related Issues</a>  | 5/27/1999   | R                        |
| 7 | Perkins | <a href="#">Responsibilities and Opportunities Created by Title I of the Workforce Investment Act of 1998</a>  | 5/24/1999   | R                        |
| 8 | Perkins | Reservation of Funds for State Administration under the Carl D. Perkins Vocational and Technical Education Act of 1998 and Adult Education and Family Literacy Act | 5/14/1999   | R                        |

#### Career and Technical Education

- Recommends rescinding, eight significant guidance documents because they relate to the previous Perkins Act legislation, the *Carl D. Perkins Vocational and Technical Education Act of 1998 (Perkins III)*

#### Adult Education

- Recommends rescind one significant guidance document because it relates to FY 2013 carry-over funds and is now no longer relevant.

Grand total of significant guidance documents that OCTAE recommends to be rescinded is nine.

## **ATTACHMENT 3 (OESE)**

|    | <b>Title of Guidance</b>  | <b>Date Guidance Issued</b> | <b>Significant ?</b> | <b>Stakeholders Affected by Guidance</b> | <b>Reasons for rescinding the guidance</b> |
|----|---|-----------------------------|----------------------|--|--|
| 1  | <a href="#">IAP - Guidance – Impact Aid Section 8007 School Construction Funds Made Available Under the American Recovery and Reinvestment Act of 2009</a>                | 4/10/2009                   | Y                    | LEAs                                     | Outdated                                   |
| 2  | <a href="#">IAP - Volume II—Frequently Asked Questions—Emergency Impact Aid for Displaced Students</a>  | 3/29/2006                   | Y                    | LEAs                                     | Outdated                                   |
| 3  | <a href="#">IAP - Volume I –Frequently Asked Questions on Emergency Impact Aid for Displaced Students</a>   | 2/2/2006                    | Y                    | LEAs                                     | Outdated                                   |
| 4  | <a href="#">Improving Literacy Through School Libraries (ILSL) FAQs</a>   | 2/2/2011                    | N                    | ILSL grantees                            | Outdated                                   |
| 5  | <a href="#">Advanced Placement Incentive (API) Program Grants Frequently Asked Questions (FAQs) from the Application Package Instructions for the FY 2011 Competition</a> | 2011                        | N                    | API grantees                             | Outdated                                   |
| 6  | API Program Grants FAQs from the technical assistance workshops conducted by the Department on March 31 and April 7, 2011, for the FY 2011 Competition                    | 2011                        | N                    | API grantees                             | Outdated                                   |
| 7  | <a href="#">Gulf Coast Recovery Grant Initiative</a>  | 2010                        | N                    | Gulf Coast Recovery grantees             | Outdated                                   |
| 8  | <a href="#">Striving Readers (SR) FAQs</a>  | 7/10/2009                   | N                    | SR grantees                              | Outdated                                   |
| 9  | <a href="#">Reading First (RF) FAQs related to the Decrease in FY 2008 Funding</a>  | 5/14/2008                   | N                    | RF grantees                              | Outdated                                   |
| 10 | <a href="#">Participation of Private School Students and Teachers in the RF Program</a>   | 4/1/2008                    | N                    | RF grantees                              | Outdated                                   |
| 11 | <a href="#">IARSO-Allowable Uses of Restart Funds for Private Schools</a>   | 4/24/2006                   | Y                    | IARSO grantees                           | Outdated                                   |
| 12 | <a href="#">IARSO-Uses of Funds Under the Restart Program</a>   | 2/1/2006                    | Y                    | IARSO Grantees                           | Outdated                                   |
| 13 | <a href="#">Immediate Aid to Restart School Operations (IARSO)– Answers to Questions about Restart</a>  | 1/6/2006                    | Y                    | IARSO gran8.tees                         | Outdated                                   |
| 14 | <a href="#">Hurricane Educator Assistance Program</a>   | 2005                        | N                    | Hurricane Assistance grantees            | Outdated                                   |
| 15 | Final Guidance for the RF Program   | 4/1/2002                    | Y                    | RF grantees                              | Outdated                                   |
| 16 | <a href="#">Guidance on McKinney-Vento Homeless</a>   | 4/10/2009                   | N                    | SEAs/LEAs                                | Outdated                                   |

|    | <b>Title of Guidance</b>   | <b>Date Guidance Issued</b> | <b>Significant ?</b> | <b>Stakeholders Affected by Guidance</b>                                | <b>Reasons for rescinding the guidance</b> |
|----|--|-----------------------------|----------------------|---|--|
|    | <a href="#">Children and Youth Program Funds Made Available Under the American Recovery and Reinvestment Act of 2009</a>                                   |                             |                      | and other agencies serving children and youth experiencing homelessness |  |
| 17 | <a href="#">FAQ—Assistance for Homeless Youth Program under the Hurricane Education Recovery Act</a>   | 1/10/2006                   | Y                    | Hurricane Assistance grantees   | Outdated                                   |
| 18 | <a href="#">ESEA Review Guidance Submission Window 3</a>   | 6/7/2012                    | Y                    | SEAs  | Outdated                                   |
| 19 | <a href="#">Safe and Drug-Free Schools and Communities Act, State Grants, Non-Regulatory Draft Guidance for State and Local Implementation of Programs</a> | 1/1/2004                    | N                    | SEAs, LEAs, intermediate education agencies                             | Outdated                                   |
| 20 | Supporting School Reform by Leveraging Federal Funds in a Schoolwide Program   | 7/30/2015                   | Y                    | SEAs, LEAs, schools   | Outdated                                   |
| 21 | <a href="#">Race to the Top Guidance and Frequently Asked Questions – No Cost Extension Addendum Phase 3</a>   | 6/1/2015                    | N                    | SEAs, LEAs, schools   | Outdated                                   |
| 22 | ESEA Flexibility Renewal Guidance Process  | 5/6/2015                    | N                    | SEAs, LEAs, schools   | Outdated                                   |
| 23 | <a href="#">“State Plans to Ensure Equitable Access to Excellent Educators Frequently Asked Questions”</a>   | 4/10/2015                   | Y                    | SEAs, LEAs, schools   | Outdated                                   |
| 24 | <a href="#">ESEA Flexibility Renewal Process Frequently Asked Questions</a>  | 3/12/2015                   | N                    | SEAs, LEAs, schools   | Outdated                                   |
| 25 | <a href="#">Supplemental New Fiscal Year 2015 Awards for Immigrant Children and Youth - Questions and Answers</a>  | 2015                        | N                    | SEAs, LEAs, schools   | Outdated                                   |
| 26 | <a href="#">Guidance on Transition from ESEA Flexibility to ESEA</a>   | 12/16/2014                  | N                    | SEAs, LEAs, schools   | Outdated                                   |
| 27 | <a href="#">ESEA Flexibility Guidance for Renewal Process</a>  | 11/13/2014                  | Y                    | SEAs, LEAs, schools   | Outdated                                   |
| 28 | <a href="#">Services to Homeless Children and Youth, New Authority in the Consolidated Appropriations Act, 2014</a>  | 7/22/2014                   | N                    | SEAs, LEAs, schools   | Outdated                                   |
| 29 | <a href="#">Race to the Top Assessment Program: Guidance and Frequently Asked Questions</a>  | 5/7/2010 with addendums     | Y                    | SEAs, LEAs, schools   | Outdated                                   |

|    | <b>Title of Guidance</b>   | <b>Date Guidance Issued</b>          | <b>Significant ?</b> | <b>Stakeholders Affected by Guidance</b> | <b>Reasons for rescinding the guidance</b> |
|----|--|--------------------------------------|----------------------|--|--|
|    |  | 5/21/2010,<br>6/17/2010,<br>2/6/2014 |                      |  |  |
| 30 | <a href="#">OSS - Letter from Assistant Secretary Deborah Delisle regarding extension of ESEA flexibility</a>  | 11/14/2013                           | N                    | SEAs                                     | Outdated                                   |
| 31 | <a href="#">Race to the Top Guidance and Frequently Asked Questions – No Cost Extension Addendum</a>   | 9/27/2013                            | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 32 | <a href="#">Applying the Title I and School Improvement Hold-Harmless Requirements when Allocating Funds to Newly Opening and Significantly Expanding Charter School LEAs</a>  | 9/23/2013                            | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 33 | <a href="#">OSS - Letter from Assistant Secretary Deborah Delisle regarding field-test flexibility</a>   | 9/17/2013                            | N                    | SEAs                                     | Outdated                                   |
| 34 | <a href="#">OSS - Letter from Assistant Secretary Deborah Delisle regarding timeline for using results of teacher and principal evaluation and support systems to inform personnel decisions [letter provides additional information as to the timeline for using results of teacher and principal evaluation and support systems to inform personnel decisions. Provides explanation for how SEAs can receive the flexibility].</a> | 8/2/2013                             | N                    | SEAs                                     | Outdated                                   |
| 35 | <a href="#">New Frequently Asked Questions and Answers on Expanded Learning Time (ELT) Under the ESEA Flexibility Optional Waiver</a>  | 5/23/2013                            | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 36 | OSS - Letter from Assistant Secretary Deborah Delisle regarding amendment to FY 2009 SIG application [Letter invites SEAs that believes additional time to expend FY 2009 funds would benefit its Cohort I Tier I or Tier II schools to amend its FY 2009 SIG application to request an extension of the waiver regarding the availability of the FY 2009 funds].  | 5/13/2013                            | N                    | SEAs                                     | Outdated                                   |
| 37 | <a href="#">OSS - Letter from Assistant Secretary</a>  | 4/23/2013                            | N                    | SEAs                                     | Outdated                                   |

|    | <b>Title of Guidance</b>  | <b>Date Guidance Issued</b> | <b>Significant ?</b> | <b>Stakeholders Affected by Guidance</b> | <b>Reasons for rescinding the guidance</b> |
|----|---|-----------------------------|----------------------|--|--|
|    | <a href="#">Deborah Delisle providing information about ESEA flexibility impact on single audit SY 2011-2012</a>  |                             |                      |  |  |
| 38 | <a href="#">Race to the Top Amendment Requests with No Cost Extension Guidance and Principles</a>   | 3/1/2013                    | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 39 | <a href="#">State and Local Report Cards Under Title I, Part A, Non-Regulatory Guidance</a>   | 2/8/2013                    | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 40 | OSS - Letter from Assistant Secretary Deborah Delisle, regarding state assessment transition [Letter informs Chief State School Officers that the Department is suspending peer review of state assessment systems under Title I effective immediately, in order to review and revise current peer review process].   | 12/21/2012                  | N                    | SEAs                                     | Outdated                                   |
| 41 | <a href="#">OSS - Letter from Assistant Secretary Deborah Delisle, regarding Healthy, Hunger-Free Kids Act of 2010 [Letter “provides guidance” with respect to identifying economically disadvantaged students in Community Eligibility Option schools in order to implement Title I requirements-re new universal meal program titled “Community Eligibility Option”].</a> | 7/6/2012                    | N                    | SEAs                                     | Outdated                                   |
| 42 | <a href="#">ESEA Flexibility Guidance</a>   | 6/7/2012                    | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 43 | OSS - Letter from Assistant Secretary Deborah Delisle, regarding submission for window 3 ESEA flexibility   | 6/7/2012                    | N                    | SEAs                                     | Outdated                                   |
| 44 | OSS - Letter from Deputy Assistant Secretary of Policy and Strategic Initiatives Michael Yudin, Delegated Authority to Perform the Functions and Duties of the Assistant Secretary for Elementary and Secondary Education, regarding Principle 3 ESEA flexibility-window 2 (includes attachments)   | 5/22/2012                   | N                    | SEAs                                     | Outdated                                   |
| 45 | <a href="#">Demonstrating that an SEA’s Lists of Schools Meet ESEA Flexibility</a>  | 4/17/2012                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |

|    | <b>Title of Guidance</b>  | <b>Date Guidance Issued</b> | <b>Significant ?</b> | <b>Stakeholders Affected by Guidance</b> | <b>Reasons for rescinding the guidance</b> |
|----|---|-----------------------------|----------------------|--|--|
|    | <a href="#"><u>Requirements</u></a>   |                             |                      |  |  |
| 46 | <a href="#"><u>Addendum #2 to the SIG Guidance</u></a>  | 3/1/2012                    | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 47 | <a href="#"><u>ESEA Review Guidance Submission Windows 1 and 2</u></a>  | 2/10/2012                   | Y                    |  | Outdated                                   |
| 48 | <a href="#"><u>Race to the Top Grant Amendment Submission Process</u></a>   | 10/4/2011                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 49 | OSS - Letter from Acting Assistant Secretary Michael Yudin inviting requests for waivers of the Tydings Amendment to extend through September 30, 2012 the period for obligating FY2009 State-administered ESEA funds made available under the regular appropriation and the American Recovery and Reinvestment Act | 9/21/2011                   | N                    | SEAs                                     | Outdated                                   |
| 50 | <a href="#"><u>Guidance for States on the Education Jobs Fund Program</u></a>   | 4/15/2011                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 51 | OSS - Letter from Deputy Assistant Secretary for Policy and Strategic Initiatives Michael Yudin inviting SES waiver requests SY 2011-2012   | 3/31/2011                   | N                    | SEAs                                     | Outdated                                   |
| 52 | <a href="#"><u>Addendum to the SIG Guidance</u></a>   | 2/16/2011                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 53 | <a href="#"><u>Race to the Top Frequently Asked Questions</u></a>   | 1/31/2011                   | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 54 | <a href="#"><u>Title III Supplement, not Supplant Guidance</u></a>  | 1/13/2011                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 55 | <a href="#"><u>When to Treat Expenditures of Education Jobs Funds as State or Local Funds for Purposes of the Fiscal Requirements under Title I, Part A of the Elementary and Secondary Education Act of 1965</u></a>   | 11/1/2010                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 56 | <a href="#"><u>SIG Guidance</u></a>   | 11/1/2010                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 57 | <a href="#"><u>Guidance Concerning the Applicability of the Education Jobs Fund Program to Charter Schools</u></a>  | 10/15/2010                  | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 58 | <a href="#"><u>Race to the Top Guidance and Frequently Asked Questions</u></a>  | 5/27/2010                   | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 59 | <a href="#"><u>Race to the Top Guidance and Frequently</u></a>  | 5/27/2010                   | N                    | SEAs, LEAs,                              | Outdated                                   |

|    | <b>Title of Guidance</b>   | <b>Date Guidance Issued</b> | <b>Significant ?</b> | <b>Stakeholders Affected by Guidance</b> | <b>Reasons for rescinding the guidance</b> |
|----|--|-----------------------------|----------------------|--|--|
|    | <a href="#">Asked Questions – Addendum 9</a>   |                             |                      | schools                                  |  |
| 60 | <a href="#">Race to the Top Guidance and Frequently Asked Questions – Addendum 8</a>   | 5/20/2010                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 61 | <a href="#">Race to the Top Guidance and Frequently Asked Questions – Addendum 7</a>   | 5/5/2010                    | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 62 | <a href="#">EAG - FAQs for Competition in FY 2010 and earlier</a>  | 3/1/2010                    | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 63 | Race to the Top Guidance and Frequently Asked Questions – Addendum 5   | 1/13/2010                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 64 | <a href="#">Guidance on the Maintenance-of-Effort Requirements in the State Fiscal Stabilization Fund Program</a>                                | 1/1/2010                    | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 65 | <a href="#">Race to the Top Phase 1 – Correction to Notice of Final Priorities</a>   | 1/27/2010                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 66 | <a href="#">Guidance for Grantees and Auditors: State Fiscal Stabilization Fund Program</a>  | 12/24/2009                  | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 67 | <a href="#">Race to the Top Guidance and Frequently Asked Questions – Addendum 2</a>   | 12/18/2009                  | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 68 | <a href="#">Race to the Top Phase 1 – Notice of Final Priorities</a>   | 11/18/2009                  | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 69 | <a href="#">SFSF - Guidance on the Use of Government Services Funds for Medicaid Expenses</a>  | 10/2/2009                   | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 70 | <a href="#">SFSF Guidance on Funds Provided to Public Institutions of Higher Education for Student Financial Assistance Programs</a>             | 7/6/2009                    | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 71 | <a href="#">Guidance on the State Fiscal Stabilization Fund</a>  | 4/1/2009                    | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 72 | <a href="#">Non-Regulatory Guidance Regarding High School Graduation Rate</a>  | 12/22/2008                  | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 73 | <a href="#">Non-Regulatory Guidance Regarding Title III Non-Supplanting Provisions (Letter to Chief State School Officers) (Guidance)</a>        | 10/2/2008                   | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 74 | <a href="#">Guidance on final regulations that allow states to develop modified achievement standards for certain students with disabilities</a> | 7/20/2007                   | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 75 | <a href="#">Revised LEA and School Improvement, Non-Regulatory Guidance</a>  | 7/21/2006                   | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 76 | <a href="#">Questions and Answers on the</a>   | 5/1/2006                    | Y                    | SEAs, LEAs,                              | Outdated                                   |

|    | <b>Title of Guidance</b>  | <b>Date Guidance Issued</b> | <b>Significant ?</b> | <b>Stakeholders Affected by Guidance</b> | <b>Reasons for rescinding the guidance</b> |
|----|---|-----------------------------|----------------------|--|--|
|    | <a href="#">Participation of Private Schools in Providing Supplemental Educational Services (SES) Under No Child Left Behind</a>  |                             |                      | schools                                  |  |
| 77 | <a href="#">Designing Schoolwide Programs, Non-Regulatory Guidance</a>  | 3/1/2006                    | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 78 | Title II Highly Qualified Teachers Guidance   | 8/3/2005                    | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 79 | <a href="#">NCLB Policy Letters to States—Use of Funds for Districts and Schools Identified for School Improvement</a>  | 10/12/2004                  | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 80 | NCLB Policy Letters to State –School Improvement - Delay in Identifying Schools for School Improvement  | 3/9/2004                    | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 81 | <a href="#">Report Cards, Title I, Part A, Non-Regulatory Guidance</a>  | 9/12/2003                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 82 | Supplemental Educational Services Non-Regulatory Guidance   | 8/22/2003                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 83 | <a href="#">Standards and Assessments Non-Regulatory Guidance</a>   | 3/10/2003                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 84 | <a href="#">Non-Regulatory Guidance on Implementation of Title III State Formula Grant Program</a>  | 2/25/2003                   | Y                    | SEAs, LEAs, schools                      | Outdated                                   |
| 85 | <a href="#">EAG Notice of final requirements for optional State consolidated applications submitted under section 9302 of the ESEA</a>  | 5/22/2002                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 86 | <a href="#">One-Year AMO Waiver Guidance and Template</a>   | 02/01/2012                  | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 87 | Part A and Part D, Subpart 1 of Title I of the Elementary and Secondary Education Act of 1965, as amended, Sections 1124 and 1412   | 11/5/2001                   | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 88 | Guidance on Paraprofessionals   | 3/1/2001                    | N                    | SEAs, LEAs, schools                      | Outdated                                   |
| 89 | Title I Accountability: Guidance on the \$225 Million Fiscal Year 2001 Appropriation For School Improvement" updates the Title I school improvement guidance to reflect changes made in the fiscal year 2001 appropriations statute | 2001                        | N                    | SEAs, LEAs, schools                      | Outdated                                   |

|    | <b>Title of Guidance</b>   | <b>Date Guidance Issued</b> | <b>Significant ?</b> | <b>Stakeholders Affected by Guidance</b>                       | <b>Reasons for rescinding the guidance</b> |
|----|--|-----------------------------|----------------------|--|--|
| 90 | Guidance on the 134 Million FY 2000 Appropriation for School Improvement   | 1/1/2000                    | N                    | SEAs, LEAs, schools  | Outdated                                   |
| 91 | <a href="#">Cross-Cutting Guidance for the Elementary and Secondary Education Act</a>  | 9/1/1996                    | N                    | SEAs, LEAs, schools  | Outdated                                   |
| 92 | <a href="#">Qualified Zone Academy Bonds – Letter to Chief State School Officers</a>   | 3/1/2011                    | N                    | States, Public Schools   | Outdated                                   |
| 93 | Guidance on Enhancing Education through Technology (Ed Tech) Program Funds Made Available under the American Recovery and Reinvestment Act of 2009 (July 2009):<br><a href="http://www2.ed.gov/programs/edtech/guidance-arra.doc">http://www2.ed.gov/programs/edtech/guidance-arra.doc</a> | 7/1/2009                    | Y                    | SEAs, LEAs   | Outdated                                   |
| 94 | <a href="#">Fiscal Year 2008 Guidance for Title V, Part A of the Elementary &amp; Secondary Education Act of 1965, as Reauthorized by the No Child Left Behind Act of 2001 (State Grants for Innovative Programs) 2008</a>   | 5/1/2008                    | Y                    | SEAs, LEAs, Outlying Areas, Students (including at-risk youth) | Outdated                                   |
| 95 | <a href="#">Qualified Zone Academy Bonds – 4 FAQs</a>  | 12/1/2004                   | N                    | States, Public Schools   | Outdated                                   |
| 96 | <a href="#">Final Guidance on the Enhancing Education Through Technology (Ed-Tech) Program</a>   | 3/1/2002                    | Y                    | SEAs, LEAs   | Outdated                                   |
| 97 | OSS - Letter from Deputy Assistant Secretary of Policy and Strategic Initiatives Michael Yudin, Delegated Authority to Perform the Functions and Duties of the Assistant Secretary for Elementary and Secondary Education, regarding Principle 3 ESEA flexibility-window 1                 | 5/22/2012                   | N                    | SEAs   | Outdated                                   |
|    | <b>Title of Guidance</b>   | <b>Date Guidance Issued</b> | <b>Significant ?</b> | <b>Stakeholders Affected by Guidance</b>                       | <b>Reasons for rescinding the guidance</b> |
| 1  | <a href="#">IAP - Guidance – Impact Aid Section 8007 School Construction Funds Made Available Under the American Recovery and Reinvestment Act of 2009</a>   | 4/10/2009                   | Y                    | LEAs   | Outdated                                   |

|    | <b>Title of Guidance</b>  | <b>Date Guidance Issued</b> | <b>Significant ?</b> | <b>Stakeholders Affected by Guidance</b> | <b>Reasons for rescinding the guidance</b> |
|----|---|-----------------------------|----------------------|--|--|
| 2  | <a href="#">IAP - Volume II—Frequently Asked Questions—Emergency Impact Aid for Displaced Students</a>  | 3/29/2006                   | Y                    | LEAs                                     | Outdated                                   |
| 3  | <a href="#">IAP - Volume I –Frequently Asked Questions on Emergency Impact Aid for Displaced Students</a>   | 2/2/2006                    | Y                    | LEAs                                     | Outdated                                   |
| 4  | <a href="#">Improving Literacy Through School Libraries (ILSL) FAQs</a>   | 2/2/2011                    | N                    | ILSL grantees                            | Outdated                                   |
| 5  | <a href="#">Advanced Placement Incentive (API) Program Grants Frequently Asked Questions (FAQs) from the Application Package Instructions for the FY 2011 Competition</a> | 2011                        | N                    | API grantees                             | Outdated                                   |
| 6  | API Program Grants FAQs from the technical assistance workshops conducted by the Department on March 31 and April 7, 2011, for the FY 2011 Competition                    | 2011                        | N                    | API grantees                             | Outdated                                   |
| 7  | <a href="#">Gulf Coast Recovery Grant Initiative</a>  | 2010                        | N                    | Gulf Coast Recovery grantees             | Outdated                                   |
| 8  | <a href="#">Striving Readers (SR) FAQs</a>  | 7/10/2009                   | N                    | SR grantees                              | Outdated                                   |
| 9  | <a href="#">Reading First (RF) FAQs related to the Decrease in FY 2008 Funding</a>  | 5/14/2008                   | N                    | RF grantees                              | Outdated                                   |
| 10 | <a href="#">Participation of Private School Students and Teachers in the RF Program</a>   | 4/1/2008                    | N                    | RF grantees                              | Outdated                                   |
| 11 | <a href="#">IARSO-Allowable Uses of Restart Funds for Private Schools</a>   | 4/24/2006                   | Y                    | IARSO grantees                           | Outdated                                   |
| 12 | <a href="#">IARSO-Uses of Funds Under the Restart Program</a>   | 2/1/2006                    | Y                    | IARSO Grantees                           | Outdated                                   |
| 13 | <a href="#">Immediate Aid to Restart School Operations (IARSO)– Answers to Questions about Restart</a>  | 1/6/2006                    | Y                    | IARSO gran8.tees                         | Outdated                                   |

## **ATTACHMENT 4 (OII)**

|   | <b>Title of Guidance</b>   | <b>Date Issued</b> | <b>Significant?</b> | <b>Affected Stakeholders</b> | <b>Keep, Modify, or Rescind</b> | <b>Reasons for Modifying or Rescinding</b>                            |
|---|--|--------------------|---------------------|------------------------------|---------------------------------|---|
| 1 | CSP Guidance On the Use of Funds to Support Preschool Education<br>( <a href="http://www2.ed.gov/programs/charter/cspppreschoolfaqs.doc">http://www2.ed.gov/programs/charter/cspppreschoolfaqs.doc</a> )                                 | 2014               | Yes                 | CSP grantees and subgrantees | R                               | ESSA now allows the program to fund charter schools serving preschool |
| 2 | Guidance Letter on Lottery Exemptions and Awards to Multiple Charter Schools Operating under a Single Charter<br>( <a href="http://www2.ed.gov/programs/charter/2008-02-13.pdf">http://www2.ed.gov/programs/charter/2008-02-13.pdf</a> ) | 2008               | No                  | CSP grantees                 | R                               | ESSA now permits funds for replication and expansion                  |

## **ATTACHMENT 5 (OSERS)**

**Office of Special Education and Rehabilitative Services**

**List of Guidance Documents Rescinded (Outdated, Unnecessary, or Ineffective)**

**October 2017**

| # | Program    | Title  | Date Issued | Reason for Rescinding  |
|---|------------|--|-------------|--|
| 1 | Rehab. Act | Transition Programs & Services: High School/High Tech & Vocational Rehabilitation.<br><a href="#">IM 07-08</a>   | 7/23/2007   | Unnecessary. The High School/High Tech program is no longer in existence.  |
| 2 | Rehab. Act | Factors State Vocational Rehabilitation Agencies Should Consider When Determining Whether a Job Position Within a Community Rehabilitation Program is Deemed to be in an Integrated Setting for Purposes of the Vocational Rehabilitation Program. (TAC-06-01)<br><a href="#">TAC 06-01</a>  | 11/21/2005  | Outdated. Changes in law, regulations, or reporting requirements resulting from the amendments to the Rehabilitation Act of 1973 made by WIOA. |
| 3 | Rehab. Act | Whether Centers that do not Receive Title VII, Part C Grants are Included as Centers for Independent Living Under the Rehabilitation Act of 1973, as amended and the Implications for SILC Composition, Network of Centers, and Part B and Part C Funding. (PD 03-06)<br><a href="https://www2.ed.gov/policy/speced/guid/rsa/pd-03-06.pdf">https://www2.ed.gov/policy/speced/guid/rsa/pd-03-06.pdf</a> | 8/8/2003    | Outdated. Changes in law, regulations, or reporting requirements resulting from the amendments to the Rehabilitation Act of 1973 made by WIOA. |
| 4 | Rehab. Act | Satellite Centers for Independent Living.<br><a href="https://www2.ed.gov/policy/speced/guid/rsa/pd-02-03.pdf">https://www2.ed.gov/policy/speced/guid/rsa/pd-02-03.pdf</a>   | 7/3/2002    | Outdated. Changes in law, regulations, or reporting requirements resulting from the amendments to the Rehabilitation Act of 1973 made by WIOA. |
| 5 | Rehab. Act | Retirement of Certain Policy Issuances.<br><a href="#">IM 00-30</a>  | 6/30/2000   | Unnecessary.   |
| 6 | Rehab. Act | Information on the Provision of Vocational Rehabilitation Services to Individuals with Hearing Loss (Deaf and Hard of Hearing).<br><a href="#">IM 00-21</a>  | 3/28/2000   | Outdated data and information.   |

| #  | Program    | Title  | Date Issued | Reason for Rescinding  |
|----|------------|--|-------------|--|
| 7  | Rehab. Act | Employment Goal for an Individual with a Disability. (PD 97-04)<br><a href="https://www2.ed.gov/offices/OSERS/RSA/guidance/PD-97-04.pdf">https://www2.ed.gov/offices/OSERS/RSA/guidance/PD-97-04.pdf</a>   | 8/19/1997   | Outdated. Changes in law, regulations, or reporting requirements resulting from the amendments to the Rehabilitation Act of 1973 made by WIOA. |
| 8  | Rehab. Act | What a Designated Client Assistance Program Agency Must Do to Satisfy the Mediation Procedures Requirement. (TAC 97-01)<br><a href="https://www2.ed.gov/policy/speced/guid/rsa/tac-97-01.pdf">https://www2.ed.gov/policy/speced/guid/rsa/tac-97-01.pdf</a>   | 2/24/1997   | Outdated. Changes in law, regulations, or reporting requirements resulting from the amendments to the Rehabilitation Act of 1973 made by WIOA. |
| 9  | Rehab. Act | Retirement of Policy Issuances.<br><a href="#">PD 95-06</a>  | 7/12/1995   | Unnecessary.   |
| 10 | IDEA       | OSEP Dear Colleague Letter: To Chief State School Officers (CSSOs) on Local Educational Agency (LEA) Maintenance of Effort.<br><a href="http://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/lea-moe-3-13-14.pdf">http://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/lea-moe-3-13-14.pdf</a>                         | 3/13/2014   | Superseded by LEA MOE regulations.   |
| 11 | IDEA       | Final Regulations Related to Parental Consent for the Use of Public Benefits or Insurance — One-page Summary.<br><a href="https://www2.ed.gov/policy/speced/reg/idea/part-b/idea-part-b-parental-consent--one-pager.pdf">https://www2.ed.gov/policy/speced/reg/idea/part-b/idea-part-b-parental-consent--one-pager.pdf</a> | 3/18/2013   | No longer needed. Used when rolling out 2006 Regulations.  |
| 12 | IDEA       | OSEP Dear Colleague Letter: Preschool Least Restrictive Environment (LRE).<br><a href="http://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/preschoollre22912.pdf">http://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/preschoollre22912.pdf</a>  | 2/29/2012   | Superseded by 2016 DCL.  |
| 13 | IDEA       | OSEP Memo: 11-06 American Recovery and Reinvestment Act (ARRA) Monitoring Announcement.<br><a href="https://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/os ep11-06arramonitoringannouncementmemo.pdf">https://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/os ep11-06arramonitoringannouncementmemo.pdf</a>         | 12/6/2010   | Outdated. No current ARRA grants.  |

| #  | Program | Title  | Date Issued | Reason for Rescinding                          |
|----|---------|--|-------------|--|
| 14 | IDEA    | Implementing Response to Intervention (RTI) Using Title I, Title III, and CEIS Funds.<br>No Link Available.  | 8/25/2009   | Outdated and inaccurate.                       |
| 15 | IDEA    | Guidance on Procedural Safeguards and Due Process Procedures for Parents and Children with Disabilities.<br><a href="http://www2.ed.gov/policy/speced/guid/idea/procedural-safeguards-q-a.pdf">http://www2.ed.gov/policy/speced/guid/idea/procedural-safeguards-q-a.pdf</a>  | 6/11/2009   | Superseded by 2013 Dispute Resolution Q&A.     |
| 16 | IDEA    | OSEP Memo 08-08: Implementing the Funding Formula Under the IDEA—Year of Age Cohorts for Which FAPE is Ensured.<br>No Link Available.  | 5/2/2008    | Outdated. Specific only to 2008.               |
| 17 | IDEA    | OSEP Memo: 07-10 Interpretation of 34 CFR §300.154(d)(2)(iv)(A).<br><a href="http://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/osep07-10interpretationof34cfr300154.pdf">http://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/osep07-10interpretationof34cfr300154.pdf</a>  | 5/3/2007    | Outdated due to 2008 public benefits regs      |
| 18 | IDEA    | Questions and Answers On Highly Qualified Teachers Serving Children With Disabilities.<br><a href="http://idea.ed.gov/uploads/07-0006.HQT.pdf">http://idea.ed.gov/uploads/07-0006.HQT.pdf</a>  | 1/29/2007   | Outdated due to ESSA changes.                  |
| 19 | IDEA    | Questions and Answers on Serving Children with Disabilities Placed by Their Parents at Private Schools.<br><a href="https://www2.ed.gov/policy/speced/guid/idea/faq-parent-placed.pdf">https://www2.ed.gov/policy/speced/guid/idea/faq-parent-placed.pdf</a>   | 3/27/2006   | Superseded by 2011 Q&A.                        |
| 20 | IDEA    | Dear Colleague Letter addressing administrative costs added to the Individuals with Disabilities Education Act (IDEA) by the 2004 Amendments.<br><a href="https://www2.ed.gov/policy/speced/guid/idea/letters/2005-3/dearcolleague072505admin3q2005.pdf">https://www2.ed.gov/policy/speced/guid/idea/letters/2005-3/dearcolleague072505admin3q2005.pdf</a> | 7/25/2005   | Outdated. Action required by December 3, 2005. |

| #  | Program | Title  | Date Issued | Reason for Rescinding                        |
|----|---------|--|-------------|--|
| 21 | IDEA    | OSEP Memo 05-10 Notice of Proposed Rulemaking (34 CFR Part 300, 301, and 304), Assistance to States for the Education of Children with Disabilities; and Service Obligations Under Special Education – Personnel Development to Improve Services and Results for Children with Disabilities.<br>No Link Available.   | 7/22/2005   | Outdated. Discusses NPRM.                    |
| 22 | IDEA    | OSEP Memo: 05-09 Obligations of States and Local Educational Agencies to Parentally-placed Private School Children with Disabilities.<br><a href="http://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/osep05-09childrenwithdisabilitiesplacedinprivateschoolsbytheirparents.pdf">http://www2.ed.gov/policy/speced/guid/idea/memosdcltrs/osep05-09childrenwithdisabilitiesplacedinprivateschoolsbytheirparents.pdf</a> | 6/27/2005   | Superseded by 2012 Q&A.                      |
| 23 | IDEA    | OSEP Memo 04-09 Implementation of OSEP’s Continuous Improvement and Focused Monitoring System During Calendar Year 2004.<br><a href="http://www.ed.gov/policy/speced/guid/idea/monitor/osep-memo-04-09.doc">www.ed.gov/policy/speced/guid/idea/monitor/osep-memo-04-09.doc</a>   | 4/6/2004    | Outdated. Specific to 2004 monitoring cycle. |
| 24 | IDEA    | OSEP Memo 04-04 New Technical Assistance Initiative.<br>No Link Available.   | 1/29/2004   | Outdated. 2004 Technical Assistance.         |
| 25 | IDEA    | OSEP Memo 03-09 New Technical Assistance Initiative.<br>No Link Available.   | 8/18/2003   | Outdated. 2003 Technical Assistance.         |
| 26 | IDEA    | OSEP Memo 03-05 Implementation of OSEP's focused monitoring during 2003.<br>No Link Available.   | 4/8/2003    | Outdated. Monitoring process changed.        |
| 27 | IDEA    | OSEP Memo 02-10 Medicaid and upcoming compliance deadlines under HIPAA and ASCA.<br>No Link Available.   | 9/26/2002   | Outdated. Specific to 10/15/02 deadline.     |

| #  | Program | Title  | Date Issued | Reason for Rescinding                              |
|----|---------|--|-------------|--|
| 28 | IDEA    | OSEP Memo 02-06 Implementing new funding formula under IDEA.<br><a href="https://www2.ed.gov/policy/speced/guid/idea/letters/2002-2/osep0206-2q2002.pdf">https://www2.ed.gov/policy/speced/guid/idea/letters/2002-2/osep0206-2q2002.pdf</a>                                    | 4/26/2002   | Outdated. Specific to FY 2002.                     |
| 29 | IDEA    | OSEP Memo 01-09 Information about new childhood regulations under the SSI Program.<br><a href="https://www.ed.gov/policy/speced/guid/idea/letters/2001-2/osep0109ssi.doc">https://www.ed.gov/policy/speced/guid/idea/letters/2001-2/osep0109ssi.doc</a>                        | 5/24/2001   | Outdated. Specific to SSI guidance.                |
| 30 | IDEA    | OSEP Memo 01-06 Guidance on including students with disabilities in assessments.<br><a href="https://www2.ed.gov/policy/speced/guid/idea/letters/2001-1/osep0106assess.pdf">https://www2.ed.gov/policy/speced/guid/idea/letters/2001-1/osep0106assess.pdf</a>                  | 1/17/2001   | Superseded by assessment regulations and guidance. |
| 31 | IDEA    | OSEP Memo 01-05 Questions and Answers on Mediation.<br>No Link Available.  | 11/30/2000  | Superseded by 2013 Dispute Resolution Q&A.         |
| 32 | IDEA    | OSEP Memo 00-24 Q&A State/District wide assessment.<br>No Link Available.  | 8/24/2000   | Superseded by assessment regulations and guidance. |
| 33 | IDEA    | OSEP Memo 00- 20 Complaint resolution procedures under Part B.<br><br>No Link Available.   | 7/17/2000   | Superseded by 2013 Dispute Resolution Q&A.         |
| 34 | IDEA    | OSEP Memo 00-19 IEP guidance.<br>No Link Available.  | 6/30/2000   | Outdated. Revised by statute and 2006 regulations. |
| 35 | IDEA    | OSEP Memo 00-17 Implementing the new funding formula under IDEA.<br><a href="https://www2.ed.gov/policy/speced/guid/idea/letters/2000-2/osep0017disfunds2q2000.pdf">https://www2.ed.gov/policy/speced/guid/idea/letters/2000-2/osep0017disfunds2q2000.pdf</a>                  | 6/26/2000   | Outdated. Revised by statute and 2006 regulations. |
| 36 | IDEA    | OSEP Memo 00-16 Review of eligibility documents and issuance of grant awards.<br><a href="http://www2.ed.gov/policy/speced/guid/idea/letters/2000-2/osep0016grantawards2q2000.doc">http://www2.ed.gov/policy/speced/guid/idea/letters/2000-2/osep0016grantawards2q2000.doc</a> | 6/13/2000   | Outdated. Specific to FY 2000.                     |

| #  | Program | Title  | Date Issued | Reason for Rescinding                              |
|----|---------|--|-------------|--|
| 37 | IDEA    | OSEP Memo 00-14 Qs & As on obligations of public agencies serving children with disabilities placed by their parents in private schools.<br><a href="http://www.cesa7.org/sped/discoveridea/topdocs/nichcy/private.htm">www.cesa7.org/sped/discoveridea/topdocs/nichcy/private.htm</a> | 5/4/2000    | Outdated. Revised by statute and 2006 regulations. |
| 38 | IDEA    | OSEP Memo 00-10 Revised Part B funding formula information request.<br>No Link Available.  | 3/14/2000   | Outdated. Specific to FY 2000.                     |
| 39 | IDEA    | OSEP Memo 00-08 School districts, State schools, and educational services agencies selected for National assessment study.<br>No Link Available.   | 1/19/2000   | Outdated. Study in effect in FY 2000.              |
| 40 | IDEA    | OSEP Memo 00-07 OSEP Memo 00-Enhancing Coordinated Services Systems.<br>No Link Available.   | 1/13/2000   | Outdated. Statute reauthorized in 2004.            |
| 41 | IDEA    | OSEP Memo 00-04 Clarification on State eligibility and public participation.<br>No Link Available.   | 11/3/1999   | Outdated. Revised by statute and 2006 regulations. |
| 42 | IDEA    | OSEP Memo 00-03 School districts, State schools, and educational services agencies selected for National assessment study.<br>No Link Available.   | 11/3/1999   | Outdated. Study in effect in FY 1999               |
| 43 | IDEA    | OSEP Memo 99-14 Guidance related to State Program improvement Grants.<br>No Link Available.  | 7/30/1999   | Outdated. Program is now SPDIG.                    |
| 44 | IDEA    | OSEP Memo 99-12 NPRM for charter school expansion act.<br>No Link Available.   | 6/28/1999   | Outdated. Discusses NPRM.                          |
| 45 | IDEA    | OSEP Memo 99-11 Final regulations.<br>No Link Available.   | 4/27/1999   | Outdated. FY 1999 transmittal memo.                |
| 46 | IDEA    | OSEP Memo 99-09 Schools with IDEAs that work.<br>No Link Available.  | 3/29/1999   | Outdated. Specific to 1999.                        |

| #  | Program | Title   | Date Issued | Reason for Rescinding  |
|----|---------|---|-------------|--|
| 47 | IDEA    | OSEP Memo 99-01 Continuous Improvement monitoring process.<br>No Link Available.  | 1/1/1999    | Outdated. Monitoring process changed.                                  |
| 48 | IDEA    | OSEP Memo Continuous Improvement monitoring process.<br>No Link Available.  | 10/2/1998   | Outdated. Monitoring process changed.                                  |
| 49 | IDEA    | OSEP Memo 98-13 OSEP Response to Comment on the IDEA forms.<br>No Link Available.   | 9/16/1998   | Outdated. Data forms changed.  |
| 50 | IDEA    | OSEP Memo 98-08 Effective date of the new IEP requirements.<br>No Link Available.   | 4/28/1998   | Outdated. Revised by statute and 2006 regulations.                     |
| 51 | IDEA    | OSEP Memo 98-04 Guidance related to State Program improvement Grants.<br>No Link Available.   | 2/26/1998   | Outdated. Program is now SPDIG.  |
| 52 | IDEA    | OSEP Memo 98-01 information related to statutory changes to Part H.<br>No Link Available.   | 1/7/1998    | Outdated. Revised by statute and 2006 regulations.                     |
| 53 | IDEA    | Joint DCL Including Students with Disabilities in all Educational Reform Activities.<br><a href="https://www2.ed.gov/about/offices/list/ocr/docs/asses902.html">https://www2.ed.gov/about/offices/list/ocr/docs/asses902.html</a>                 | 9/29/1997   | Superseded by assessment regs and guidance.                            |
| 54 | IDEA    | OSEP Memo 97-7 Initial Discipline Guidance related to removal of children with disabilities for ten school days or less.<br><a href="https://www2.ed.gov/policy/speced/leg/idea/97-7.pdf">https://www2.ed.gov/policy/speced/leg/idea/97-7.pdf</a> | 9/19/1997   | Outdated. Memo in effect until 1999 regulations. Statute reauthorized. |
| 55 | IDEA    | OSEP Memo 97-5 Changes in Part B of IDEA as required by the IDEA amendments of 1997.<br><a href="https://www2.ed.gov/policy/speced/leg/idea/97-5.pdf">https://www2.ed.gov/policy/speced/leg/idea/97-5.pdf</a>                                     | 6/17/1997   | Outdated. Memo in effect until 1999 regulations. Statute reauthorized. |

| #  | Program | Title  | Date Issued | Reason for Rescinding   |
|----|---------|--|-------------|---|
| 56 | IDEA    | OSEP Memo 95-2 Information on the Secretarial Review Process.<br><a href="http://baby.indstate.edu/iseas/genrl-in8.html">http://baby.indstate.edu/iseas/genrl-in8.html</a> | 10/6/1994   | Outdated. Change in regulations.  |
| 57 | IDEA    | OSEP Memo 94 -19 Availability of draft monitoring reports under FOIA.<br>No Link Available.  | 4/28/1994   | Outdated. No longer have draft monitoring reports.                          |
| 58 | IDEA    | OSEP Memo 93-16 Impact of the Cash Management Improvement Act on IDEA Part B State Grants for FY 1994.<br>No Link Available.   | 7/21/1993   | Outdated. CMIA regulations updated in 2002.                                 |
| 59 | IDEA    | OSEP Memo 93-09 Provision of services to Native American Children Aged Birth through Five Residing on Reservations.<br>No Link Available.                                  | 1/12/1993   | Outdated. Statute reauthorized.   |
| 60 | IDEA    | OSEP Memo 92-20 Guidelines for Implementing Community-based Educational Programs for Students with Disabilities.<br>No Link Available.                                     | 9/21/1992   | Outdated. Updated May 2017 transition guidance based on WIOA and IDEA 2004. |
| 61 | IDEA    | OSEP Memo 91-22 Summary of Comments of Special Education for Children with Attention Deficit Disorder.<br>No Link Available.   | 6/21/1991   | Outdated. Summary of comments only.   |
| 62 | IDEA    | OSEP Memo 90-17 & 90-17A Response to Pennsylvania Questions on Implementing a Birth Through Five Early Intervention Program.<br>No Link Available.                         | 6/1/1990    | Outdated. Revised regulations & reauthorized statute.                       |
| 63 | IDEA    | OSEP Memo 90-16 Age of eligibility for FAPE of preschool aged children.<br>No Link Available.  | 5/8/1990    | Outdated. Revised regulations and reauthorized statute.                     |
| 64 | IDEA    | OSEP Memo 89-21 States responsibility to make FAPE available to certain Indian children.<br>No Link Available.   | 6/19/1989   | Outdated. Statute reauthorized.   |

| #  | Program | Title   | Date Issued | Reason for Rescinding   |
|----|---------|---|-------------|---|
| 65 | IDEA    | OSEP Memo 88-17 Use of Tape recorders at IEP meetings.<br>No Link Available.  | 4/15/1988   | Superseded by OSEP Memo 91-24.                                |
| 66 | IDEA    | OSEP Memo 86-13 The 12 percent limitation on handicapped children counted for allocation purposes.<br>No Link Available.  | 3/18/1986   | Outdated. Statute reauthorized.                               |
| 67 | IDEA    | OSEP Memo 85-23 Cooperation in transition initiative and parent training.<br>No Link Available.   | 4/4/1985    | Outdated. PTIs re-competed every 5 years.                     |
| 68 | IDEA    | OSEP Memo 85-19 excess cost requirement under Part B.<br>No Link Available.   | 2/5/1985    | Outdated. Superseded by regulations & Uniform Guidance.       |
| 69 | IDEA    | OSEP Memo 85-9 Grantback arrangements.<br>No Link Available.  | 1/9/1985    | Outdated. Superseded by Uniform Guidance.                     |
| 70 | IDEA    | OSEP Memo 85-5 Use of EHA-B funds for equipment and certain costs allowable with prior approval.<br>No Link Available.  | 10/9/1984   | Outdated. Superseded by regulations & Uniform Guidance.       |
| 71 | IDEA    | Informal Letter to Chief State School Officers on Data Submissions Due During FY 1983.<br><br>No Link Available.  | 8/31/1982   | Outdated. Superseded by the Uniform Guidance; no longer used. |
| 72 | IDEA    | Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting From Federal Financial Assistance; and Assistance to States for Education of Handicapped Children.<br><a href="https://www2.ed.gov/about/offices/list/ocr/docs/fapeinsurance.html">https://www2.ed.gov/about/offices/list/ocr/docs/fapeinsurance.html</a> | 12/22/1980  | Superseded by regulations governing use of insurance.         |

## **ATTACHMENT 6 (OCFO)**

|   | <b>Title of Guidance</b>   | <b>Date Issued</b> | <b>Specify whether the guidance is significant (Yes or No)</b> | <b>Stakeholders Affected by Guidance</b> | <b>Specify whether you will keep (K), modify (M), or Rescind (R) the guidance</b> | <b>Reasons for modifying or rescinding the guidance</b>  |
|---|--|--------------------|--|--|---|--|
| 1 | Discovering New Solutions Through Cooperative Audit Resolution: A Guide, located here: <a href="https://www2.ed.gov/policy/gen/guid/caroi/Carol_Guide.pdf">https://www2.ed.gov/policy/gen/guid/caroi/Carol_Guide.pdf</a> | 1999               | No   | ED, grantees                             | R   | This 1999 publication is outdated and has been superseded by audit requirements in 2 CFR 200. Current information and guidance on cooperative audit resolution can be found here:<br><a href="https://www2.ed.gov/policy/gen/guid/caroi/whatis.html">https://www2.ed.gov/policy/gen/guid/caroi/whatis.html</a> |

|   |  |        |     |          |   |  |
|---|--|--------|-----|----------|---|--|
| 2 | <p>Transitioning to Grants.gov to Submit Electronic Applications, located here:<br/> <a href="https://www2.ed.gov/policy/fund/guid/gposbul/GrantsDotGovTransitionMemo.pdf">https://www2.ed.gov/policy/fund/guid/gposbul/GrantsDotGovTransitionMemo.pdf</a></p>       | Mar-06 | Yes | Grantees | R | This 2006 memorandum is outdated.  |
| 3 | <p>Notification of FEDWIRE and ACH Payment Options for ED Grant &amp; Cooperative Agreement Payees, located here:<br/> <a href="https://www2.ed.gov/policy/fund/guid/gposbul/fedwire-memo.pdf">https://www2.ed.gov/policy/fund/guid/gposbul/fedwire-memo.pdf</a></p> | Oct-10 | Yes | Grantees | R | This 2010 memorandum is outdated and has been superseded by payment requirements in 2 CFR 200. |

|   |  |        |     |              |   |  |
|---|--|--------|-----|--------------|---|--|
| 4 | <p>Letter to Chief State School Officers on Granting Administrative Flexibility for Better Measures of Success, located here:<br/> <a href="https://www2.ed.gov/policy/fund/guid/gposbul/time-and-effort-reporting.html">https://www2.ed.gov/policy/fund/guid/gposbul/time-and-effort-reporting.html</a></p> | Sep-12 | Yes | ED, states   | R | <p>This 2012 memorandum has been superseded by flexibilities in 2 CFR 200.</p>   |
| 5 | <p>Communication for ED employees and grantees on Uniform Guidance, located here:<br/> <a href="https://www2.ed.gov/policy/fund/guid/gposbul/gposbul.html">https://www2.ed.gov/policy/fund/guid/gposbul/gposbul.html</a></p>   | Feb-14 | No  | ED, grantees | R | <p>This 2014 communication has been superseded by the information and resources on the Department's Uniform Guidance webpage:<br/> <a href="https://www2.ed.gov/policy/fund/guid/uniform-guidance/index.html">https://www2.ed.gov/policy/fund/guid/uniform-guidance/index.html</a></p> |